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(21676295).

2018

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"(2462018QZDX02)

1966

E-mail: [liujw@cup.edu.cn](mailto:liujw@cup.edu.cn).

1995

E-mail: [714244712@qq.com](mailto:714244712@qq.com).

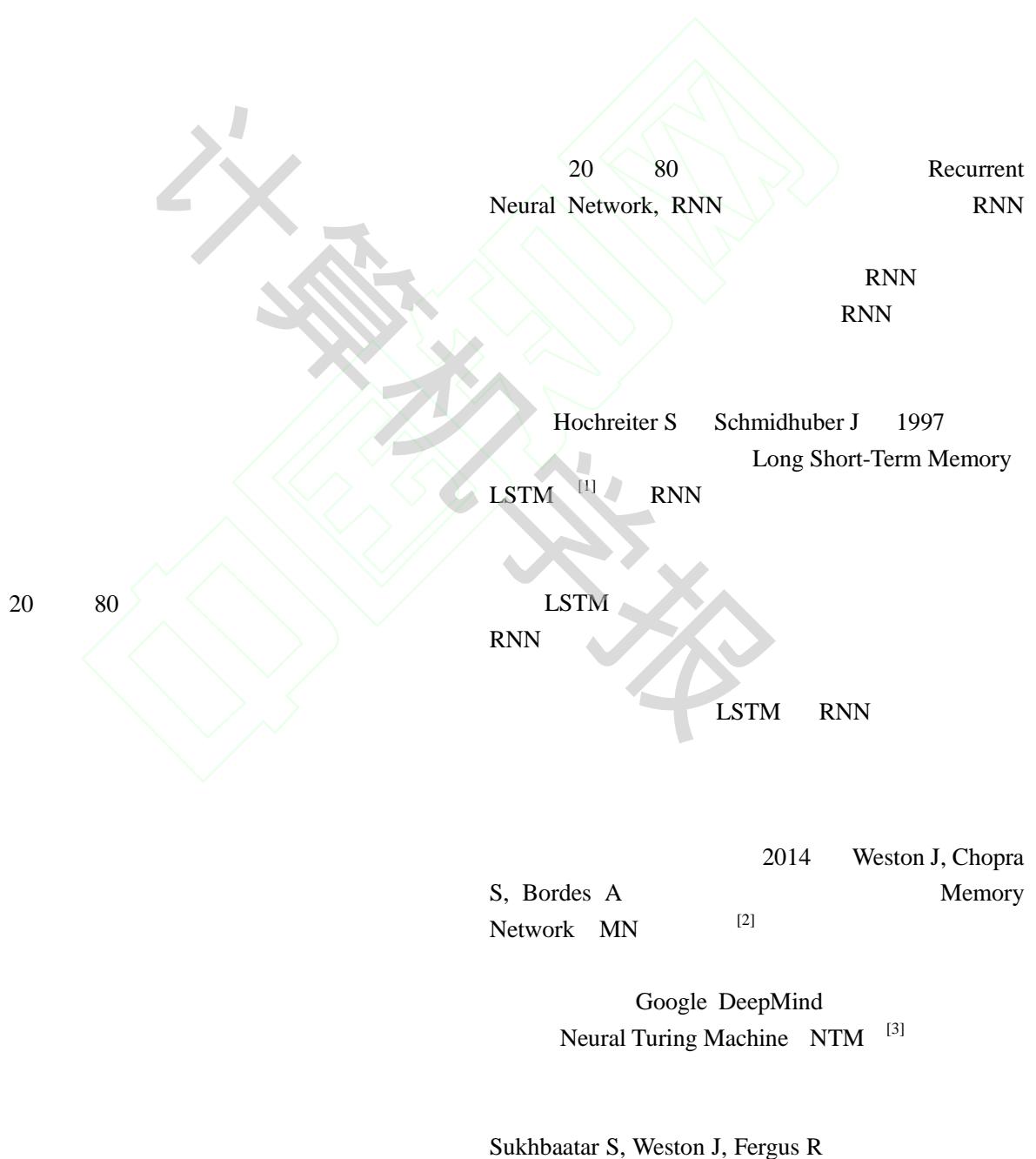
1963

E-mail: [luoxl@cup.edu.cn](mailto:luoxl@cup.edu.cn).

network structure and training algorithm. Afterwards, we introduce the extended model of the memory network and its application in different fields and scenarios. Finally, the future research direction of the memory network is prospected.

**Key words** recurrent neural network; long short term memory network; memory network; neural turing machine; natural language processing

# 1



[4]

 $f$  $Ux_t \quad Ws_{t-1}$ 

2017

Google

Transformer  
RNN

[5]

tanh

ReLU

 $s_1$  $o_t = \text{softmax}(Vs_t)$ 

2

 $o_t \quad t$ **2**

2014

[1]

[3]

[2]

[5][4]

**2.1**

1

RNN

 $s_t$ 

t

V

V

s<sub>t</sub>

t

 $o_t$ 

t

RNN

 $o_t$ 

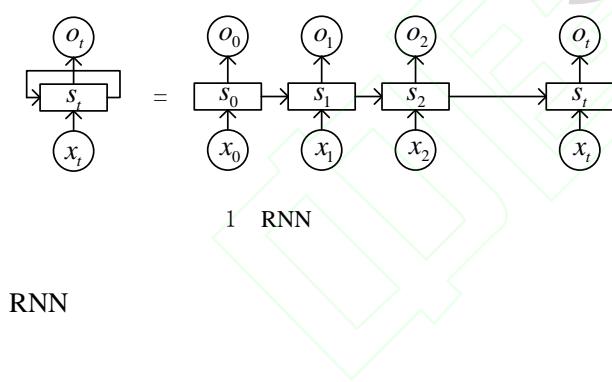
t

V

V

RNN

U,V,W

 $x_t \quad t$  $x_1$  $s_t \quad t$ 

RNN

 $s_t$ **2.2** $s_t = f(Ux_t \quad Ws_{t-1})$ 

1

LSTM

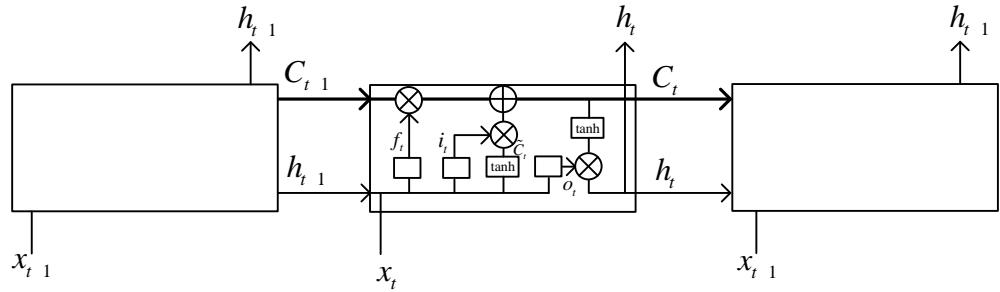
RNN

RNN

LSTM RNN

LSTM

2

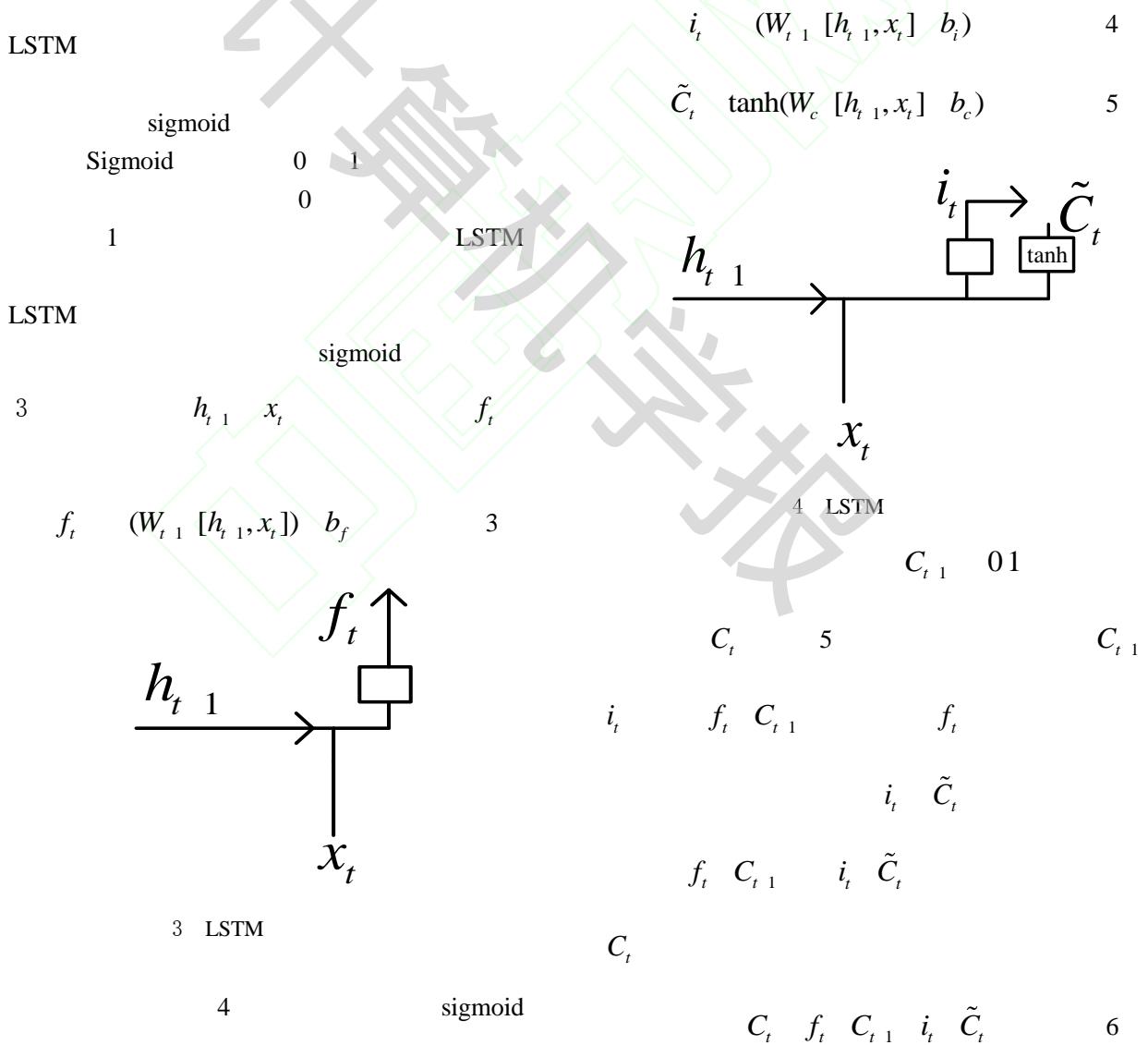


LSTM

2

LSTM

tanh

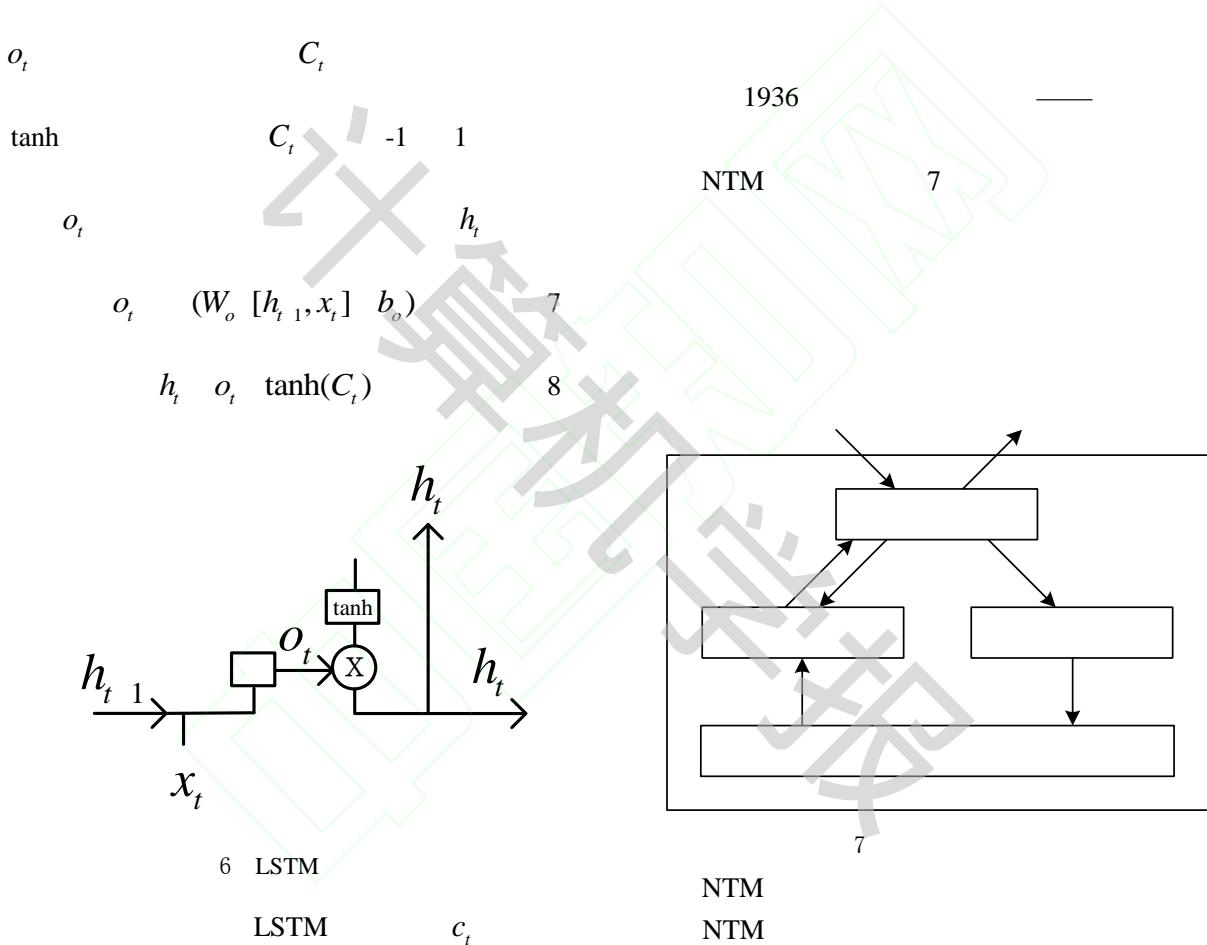




5 LSTM

**2.3**

$C_t$       6      sigmoid      2014      Google DeepMind      [3]



$h_t$       t  
 $i_t$   
 $f_t$   
 $o_t$   
 $\hat{c}_t$   
 $c_t$        $f_t$        $c_{t-1}$        $i_t$        $\hat{c}_t$

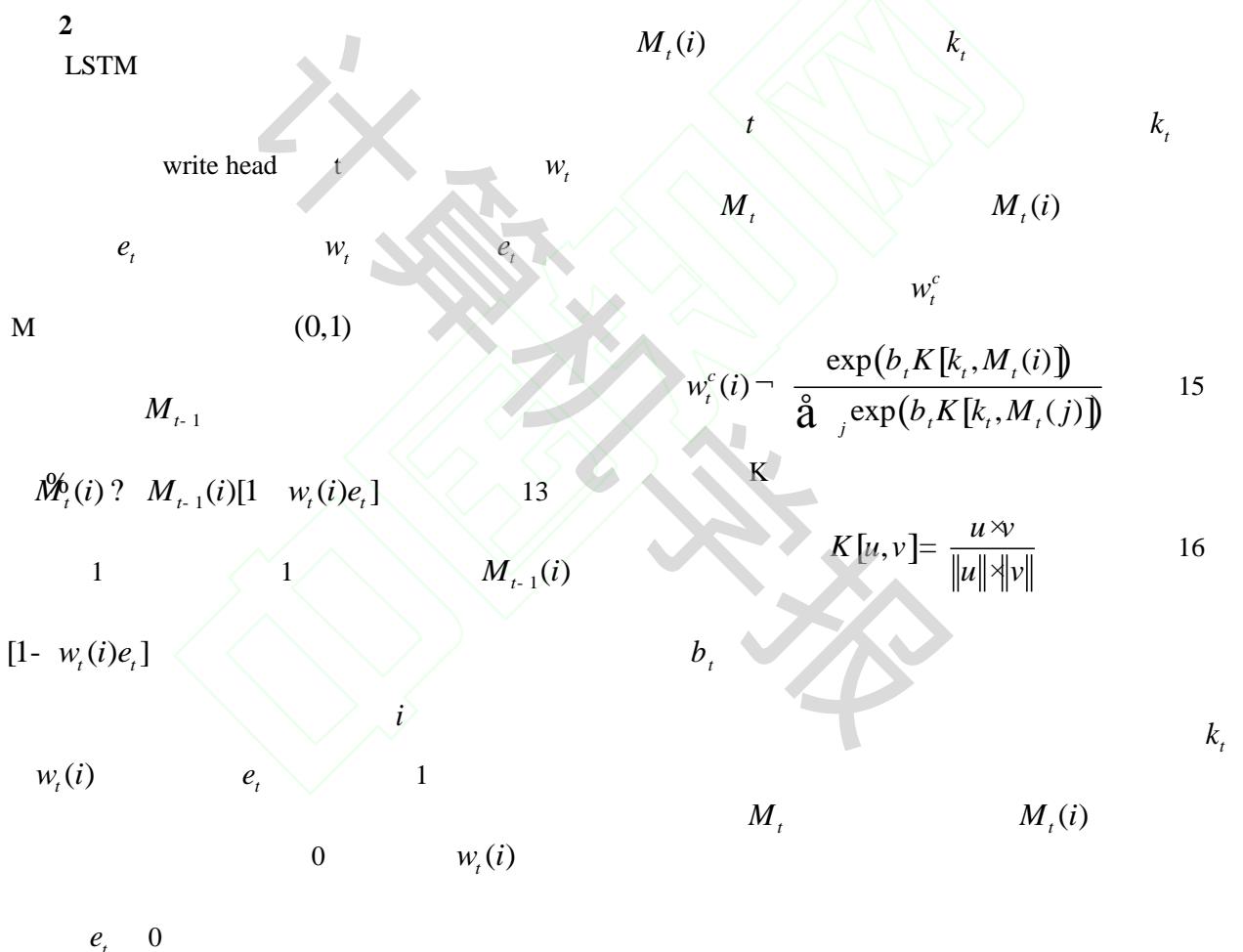
9      N      M

$M_t \hat{I}^{-N \times M} - t$   
 $w_t \hat{I}^{-N} - t$

N      read head

$w_t$

$$\begin{array}{ccccccccc}
 \overset{\circ}{\mathbf{a}}_i & w_t(i) = 1, 0 & w_t(i) - 1 & w_t & N & i & t \\
 & w_t(i) & & & & & \\
 & & & & N & & M \\
 M & & r_t & & & M_t(i) & \\
 & M_t(i) & & & & & 3 \\
 r_t \neg \overset{\circ}{\mathbf{a}}_i & w_t(i)M_t(i) & & 12 & & w_t & NTM
 \end{array}$$



$$M_t(i) ? \text{ } M_t^0(i) \text{ } \quad w_t(i)a_t \quad \quad \quad 14$$

$$g_t \quad \quad \quad w_{t-1}^{0,1}$$

$$w_t^c$$

sharpening

$$w_t^g \leftarrow g_t w_t^c + (1-g_t) w_{t-1}$$

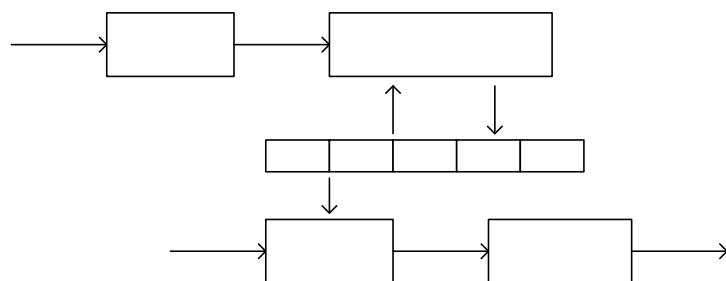
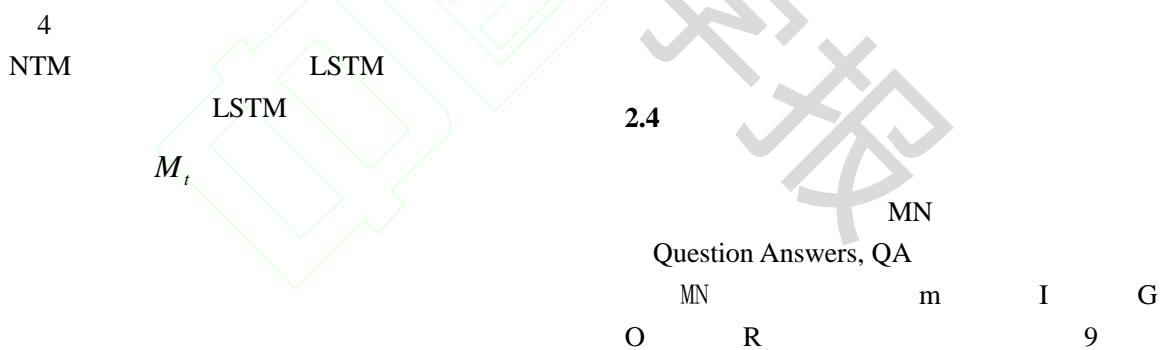
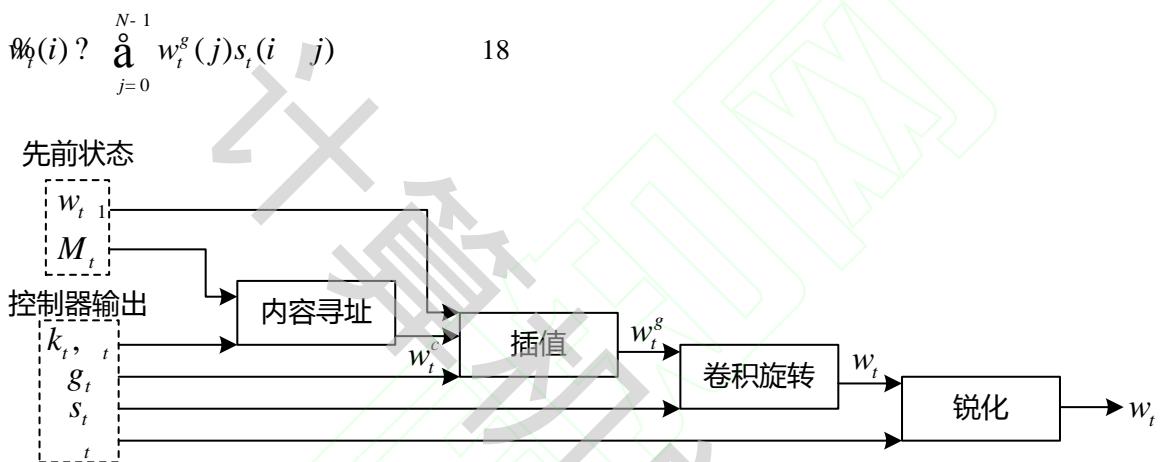
N                  0                  N-1                  17                   $s_t$

$$w_t(i) \leftarrow \frac{\mathbb{W}_t(i)^{g_t}}{\sum_j \mathbb{W}_t(j)^{g_t}}$$

19

$$w_t^g$$

$$\mathbb{W}_t(i)$$



1  $x$  I  $\max(0, \frac{s_O(x, m_{o_1}) - s_O(x, \bar{f})}{\bar{f} - m_{o_1}})$

$I(x)$   $I(x)$   $m$   $\max(0, \frac{s_O([x, m_{o_1}], m_{o_2}) - s_O([x, m_{o_1}], \bar{f})}{\bar{f} - m_{o_2}})$

2  $x$   $\bar{r}$   $r$   $\max(0, \frac{s_R([x, m_{o_1}, m_{o_2}], r) - s_R([x, m_{o_1}, m_{o_2}], \bar{r})}{\bar{r} - r})$

N k 24

$k=1$  N  $\bar{f}, \bar{f}, \bar{r}$

$o_1 \quad O_1(x, m) \quad \arg \max_{i=1,\dots,N} s_O(x, m_i) \quad 20 \quad 0$

$s_O$   $m_{o_1}$   $O_1$   $MN$   $QA$   $[7]$   $Fader$   $14M$   $MN$

$k=2$   $x$   $m_{o_1}$   $21$   $F1$   $QA$   $Bordes$   $[8]$   $WikiAnswers$

$o_2 \quad O_2(x, m) \quad \arg \max_{i=1,\dots,N} s_O([x, m_{o_1}], m_i) \quad 21 \quad 100$

$o_2 \quad m_{o_2}$   $x, m_{o_1}, m_{o_2}, \dots, m_{o_k}$   $r$   $r$   $Fader$   $20$   $MN$   $0$

$3 \quad r \quad \arg \max_{w \in W} s_R([x, m_{o_1}, m_{o_2}], w) \quad 22 \quad 200$

$w \quad W$   $W$   $s_R$   $s_O$   $Bordes$   $20$   $13$   $6$   $MN$

$s(x, y) \quad {}_x(x)^T U^T U {}_y(y) \quad 23 \quad MN$

$U \quad n \quad D$   $D$   $QA$   $1 \quad MN$   $QA$

n  $x \quad y$   $1 \quad MN$   $QA$

D  $MN$   $MN$

margin ranking loss  $QA$  :

	F1	
Fader	[7]	0.54
Bordes	[8]	0.73
MN		0.72
MN		0.82

1 5

RNN LSTM  
RNN LSTM  
[9]

MN  
100 0.01 0.1

10 2

2 MN

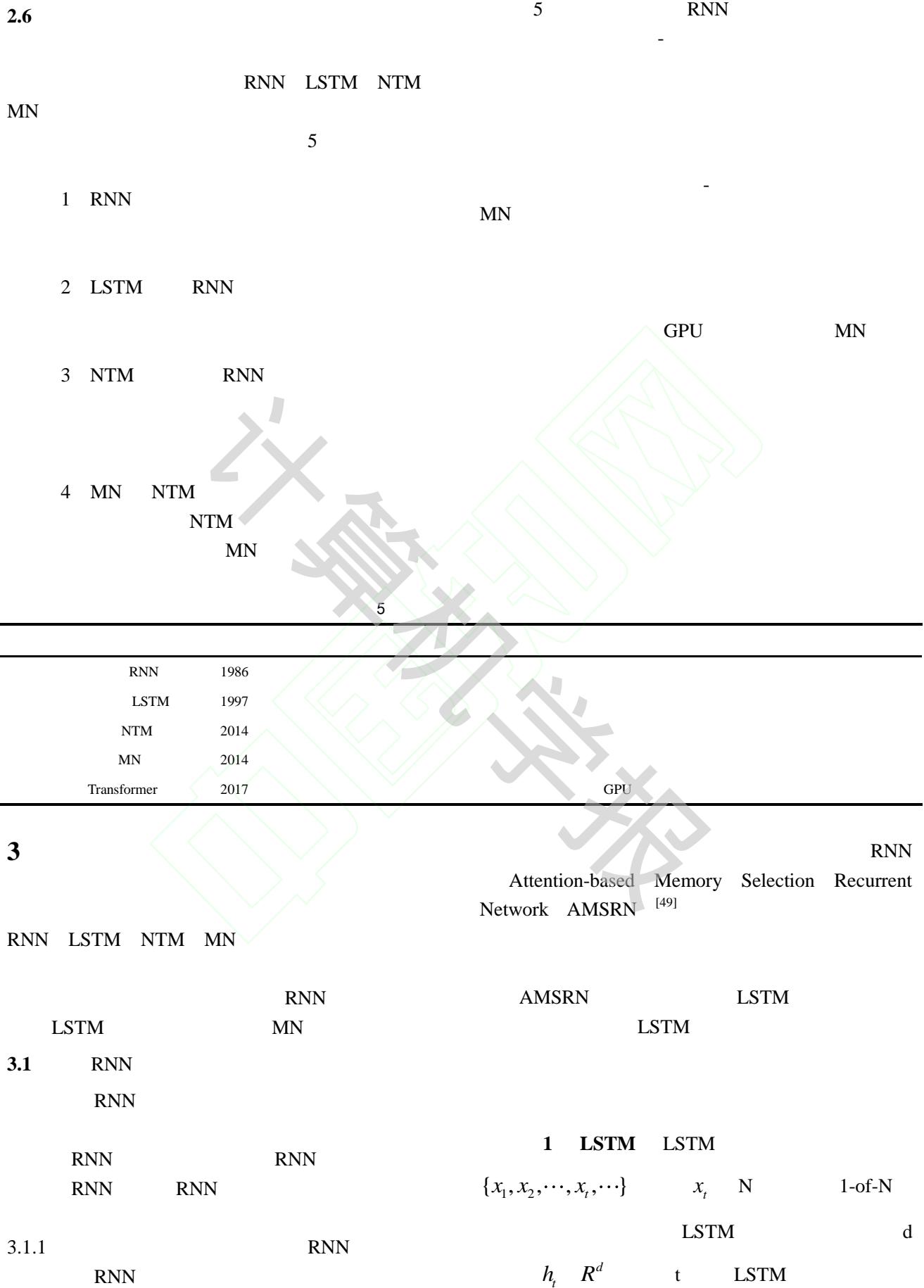
QA

P4r(>Á5þÄpuÄVícm\)-pÄA-pEÅru"0ÄRÉBBQ è tfrR#04r|RÉBBQ è Tf4rS#0

$k$	$v$	$q$	$\sin(pos + k) = \sin(pos)\cos(k) + \cos(pos)\sin(k)$	WMT 2014	-	WMT
$\sqrt{d_k}$	$d_k$		$\cos(pos + k) = \cos(pos)\cos(k) - \sin(pos)\sin(k)$	2014	-	[10]
softmax				Adam		
$\text{Attention}(Q, K, V) = \text{softmax} \frac{\frac{QK^T}{\sqrt{d_k}}V}{\sqrt{d_k}}$	25					
$b_1=0.9$	$b_2=0.98$	$e=10^{-9}$				
$q$	$k$	$v$	$l_{rate} = d_{model}^{-0.5} \min(\text{step\_num}^{-0.5}, \text{step\_num} \times \text{warmup\_steps}^{-1.5})$	29		
$q$	$k$	$v$	$warmup\_steps$	4000		
$v$	$h$		$warmup\_steps$			
$h$						
$MultiHead(Q, K, V) = \text{Concat}(\text{head}_1, K, \text{head}_h)W^O$	26					
$\text{head}_i = \text{Attention}(QW_i^Q, KW_i^K, VW_i^V)$						
$W^O, W_i^Q, W_i^K, W_i^V$						
<b>Position Embedding</b>						
RNN						
$PE_{(pos, 2i)} = \sin(pos / 10000^{2i/d_{model}})$	27					
$PE_{(pos, 2i+1)} = \cos(pos / 10000^{2i/d_{model}})$						
$pos$	$i$					
$d_{model}$						
$pos+k$	$pos$					
$GNMT+RL$						
WMT 2014	-					
8	8					
1024	LSTM					
Deep-Att+PosUnk						
WMT 2014	-					
256						
512						
Dilated Convolution	1					
ByteNet	5					
WMT 2014	-					
1.2						
LSTM						
GNMT+RL						
WMT 2014	-					
8	8					
LSTM						

ConvS2S	WMT 2014	-	1024	2	MoE	MoE	2048
WMT 2014	-				200		
			512			BLEU	
		512					3
			0.99				
0.1				0.25			
MoE	WMT 2014	-		WMT			4
2014	-						
GNMT							
LSTM			3				

		BLEU			
		EN-DE	EN-FR	EN-DE	EN-FR
ByteNet <sup>[13]</sup>		23.75			
Deep-Att + PosUnk <sup>[14]</sup>			39.2		$1.0 \cdot 10^{20}$
GNMT + RL <sup>[15]</sup>		24.6	39.92	$2.3 \cdot 10^{19}$	$1.4 \cdot 10^{20}$
ConvS2S <sup>[16]</sup>		25.16	40.46	$9.6 \cdot 10^{18}$	$1.5 \cdot 10^{20}$
MoE <sup>[17]</sup>		26.03	40.56	$2.0 \cdot 10^{19}$	$1.2 \cdot 10^{20}$
Deep-Att + PosUnk Ensemble <sup>[14]</sup>			40.4		$8.0 \cdot 10^{20}$
GNMT + RL Ensemble <sup>[15]</sup>		26.30	41.16	$1.8 \cdot 10^{20}$	$1.1 \cdot 10^{21}$
ConvS2S Ensemble <sup>[16]</sup>		26.36	<b>41.29</b>	$7.7 \cdot 10^{19}$	$1.2 \cdot 10^{21}$
Transformer (base model)		27.3	38.1		<b><math>3.3 \cdot 10^{18}</math></b>
Transformer (big)		<b>28.4</b>	<b>41.0</b>		$2.3 \cdot 10^{19}$
		4			
DTN[18]	2017				
WTN[19]	2017				
AAN[20]	2018				
BlendCNN[21]	2018		CNN		
Action	2018				
Transformer[22]					
Universal	2018				
Transformers[23]					
Evolved	2019				
Transformer[24]					
Set Transformer[25]	2019				
Transformer-XL[26]	2019				



$$M_t \quad [h_0, h_1, \dots, h_{t-1}] \qquad \qquad \qquad w_{h_2} \quad h_i \qquad \qquad \qquad h_i$$

$$^2 \hspace{1cm} 72 \hspace{1cm} h_i \hspace{1cm} r_t$$

$h_t$                   d                   $w_{h1}$        $w_{h2}$                   LSTM

$$M_t \quad [h_0, h_1, \cdots, h_{t-1}] \qquad \qquad \qquad h_t \qquad \qquad \qquad r_t \qquad \qquad \qquad h_i \\$$

$$d \quad k_t \quad h_i \quad h_i \circ w_{h2} \quad 33$$

$$k_t \quad W_{kh} h_t \quad b_k \qquad \qquad \qquad 30 \qquad \qquad \qquad r_t \quad {}_{i=0}^{t-1} h_i \qquad \qquad \qquad 34$$

$$W_{kh} \in \mathbb{R}^{d \times d} \quad b_k \in \mathbb{R}^d \quad r_t \quad h_t$$

$k_t$  LSTM

$$P_w = \text{softmax}(W_{ph}h_t + W_{pr}r_t + b_p) \quad 35$$

$$M_t \quad [h_0, h_1, \dots, h_{t-1}] \qquad h_i \qquad e_{ti}$$

$$W_{ph}, W_{pr}, b_p$$

$$e_{ti} \quad (h_i \circ w_{h1}) \ k_t \qquad \qquad \qquad 31$$

1

$$h_i \circ w_{h1} \quad \text{LSTM} \quad M_t \quad [h_0, h_1, \dots, h_{t-1}]$$

$$h_t \quad w_{h1}$$

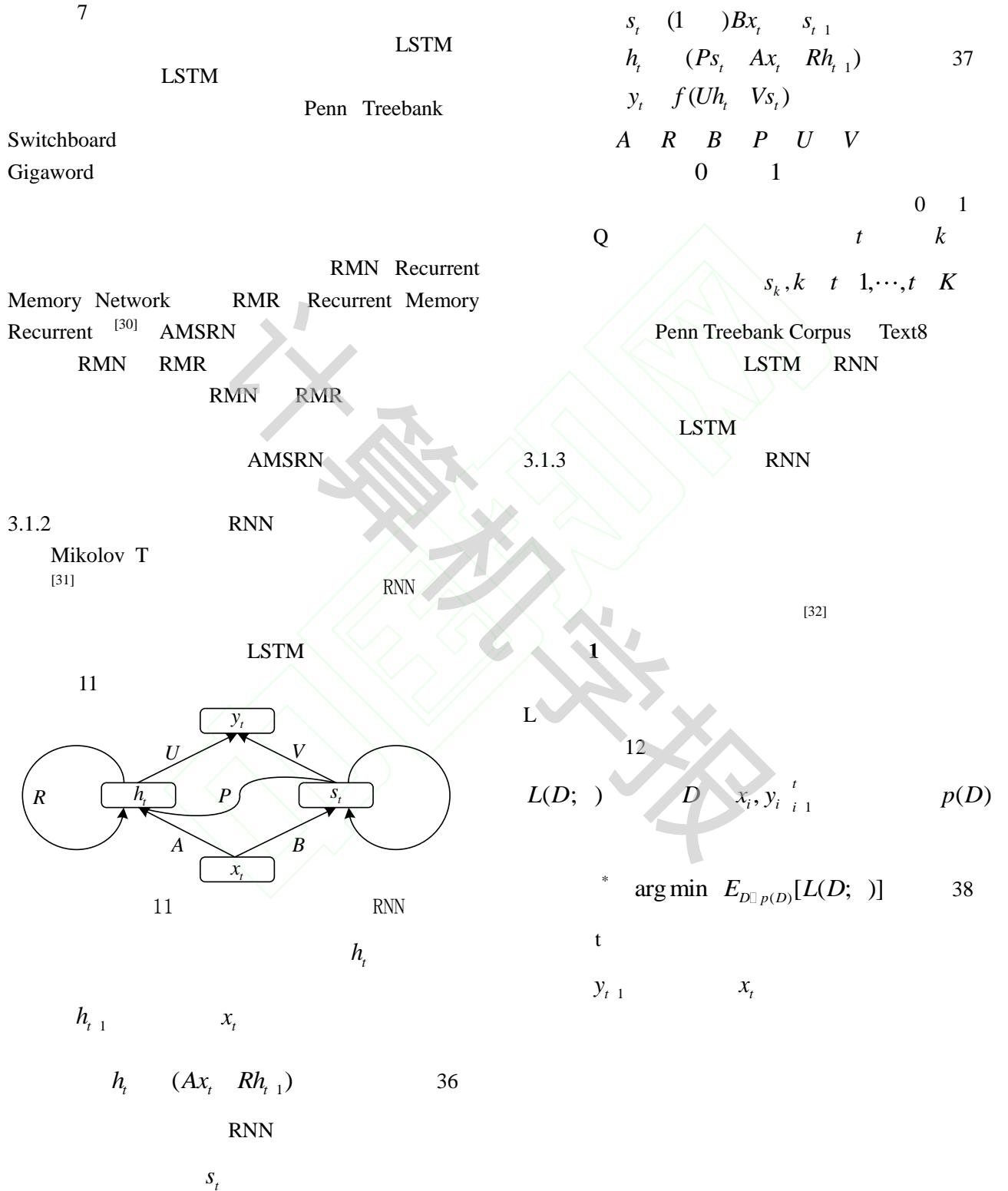
$$h_i \circ w_{h1} \quad k_t$$

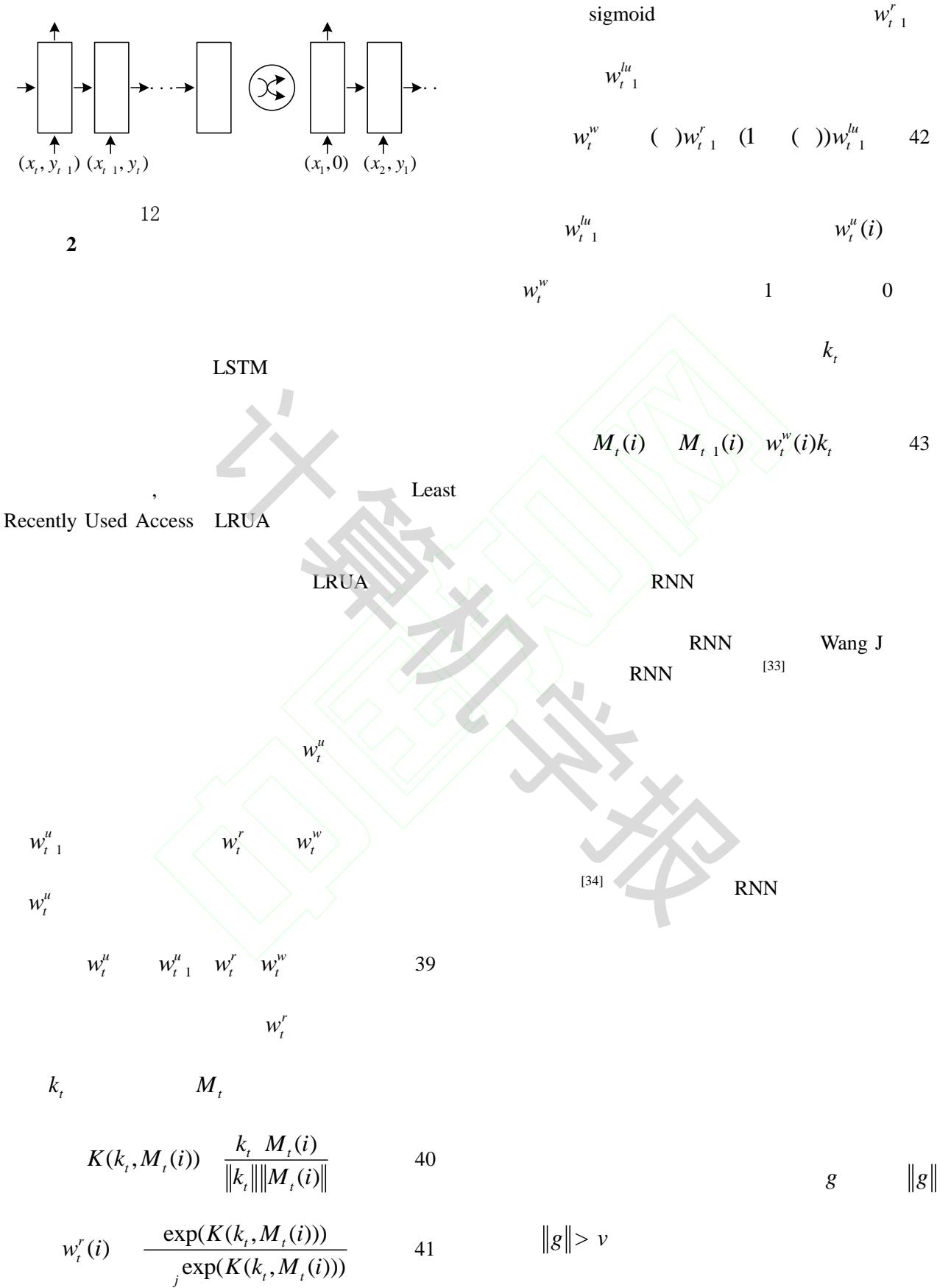
softmax  $e_{ti}$

ti

$$ti \quad \frac{\exp(e_{ti})}{\sum_i \exp(e_{ti})} \quad 32$$

LSTM+	134.09	93.74	102.04
LSTM+ +	133.36	92.49	86.85
RMN	123.32	64.41	121.28
RMR	134.30	71.04	145.24





$v$	$g$	$N_h$	$O(N_h^2)$
$g \leftarrow \frac{gv}{\ g\ }$	44	3.2.1 LSTM LSTM	LSTM
			LSTM

Danihelka I  
 Associative Long Short-Term Memory  
 ALSTM [36] LSTM Holographic  
 Reduced Representations HRR -

HRR

$$r \quad (a_r[1]e^{i\pi r[1]}, a_r[2]e^{i\pi r[2]}, \dots)$$

$$\tilde{N}_{h^{(t)}} L \quad y \quad r \quad x \quad (a_r[1]a_x[1]e^{i(\pi^{[1]} - x^{[1]})}, a_r[2]a_x[2]e^{i(\pi^{[2]} - x^{[2]})}, \dots)$$

46

Pascanu R [35]

$$W = \overset{\circ}{a}_t = \frac{C \left\| (\tilde{N}_{h^{(t)}} L) \frac{\P h^{(t)}}{\P h^{(t-1)}} \right\|}{\tilde{N}_{h^{(t)}} L} - \begin{matrix} \cdot \\ \cdot \\ \cdot \\ \cdot \\ \cdot \\ \cdot \\ \cdot \end{matrix}.$$

RNN

## 3.2 LSTM

## LSTM

## LSTM

## LSTM

## LSTM

## LSTM

## LSTM

$$h \quad \begin{matrix} h_{real} \\ h_{imaginary} \end{matrix} \quad 48 \quad \begin{matrix} P_s & 0 \\ 0 & P_s \end{matrix} \quad r_{i,s} \quad r_i \quad 54$$

$$h \quad \square^{N_h}, h_{real}, h_{imaginary} \quad \square^{N_h/2} \quad c_{s,t} \quad g_f \circ c_{s,t-1} \quad r_{i,s} \quad (g_i \circ u) \quad 55$$

LSTM  
 $\hat{r}_i, \hat{r}_o$

$$P_s \quad \square^{N_h/2} \quad s$$

$$\hat{g}_f, \hat{g}_i, \hat{g}_o, \hat{r}_i, \hat{r}_o \quad W_{xh}x_t \quad W_{hh}h_{t-1} \quad b_h \quad 49$$

$$\hat{u} \quad W_{xu}x_t \quad W_{hu}h_{t-1} \quad b_u \quad 50$$

$$r \quad u \quad \begin{matrix} r_{real} \circ u_{real} & r_{imaginary} \circ u_{imaginary} \\ r_{real} \circ u_{imaginary} & r_{imaginary} \circ u_{real} \end{matrix} \quad 56$$

$$h_{real}/d \quad h_{imaginary}/d$$

$$max(1, \sqrt{h_{real}^2 - h_{imaginary}^2})$$

$$d$$

$$51$$

$$52$$

$$53$$

$$54$$

$$55$$

$$56$$

$$57$$

$$P_s/0$$

$$r_{o,s}$$

$$r_o$$

$$57$$

$$58$$

$$g_o \circ bound(\frac{1}{N_{copies}} r_{o,s-1}, c_{s,t})$$

$$58$$

3.2.2 LSTM  
Zhang X  
Tree Long Short-Term Memory Networks  
TLSTM LSTM

$$D(w)$$

$$r_i \quad r_o$$

$$u \quad bound(\hat{u})$$

$$r_i \quad bound(r_i)$$

$$r_o \quad bound(r_o)$$

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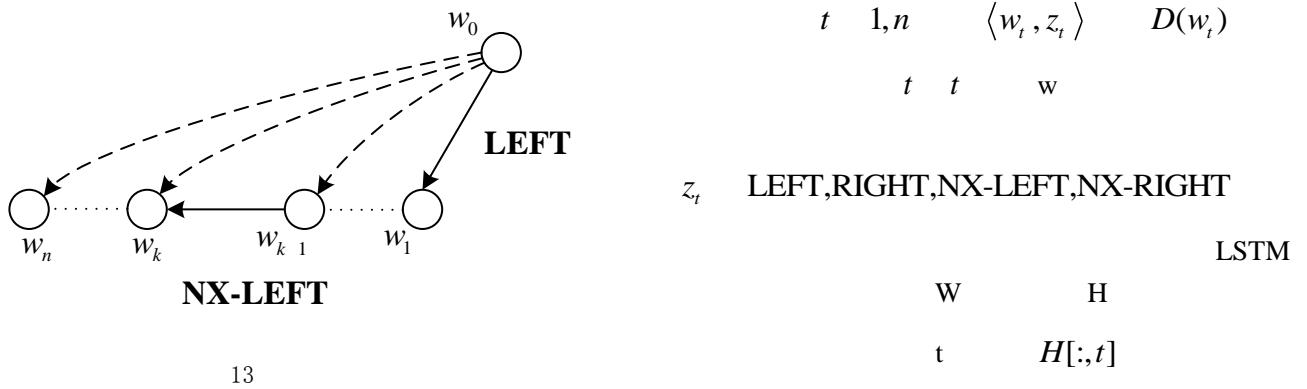
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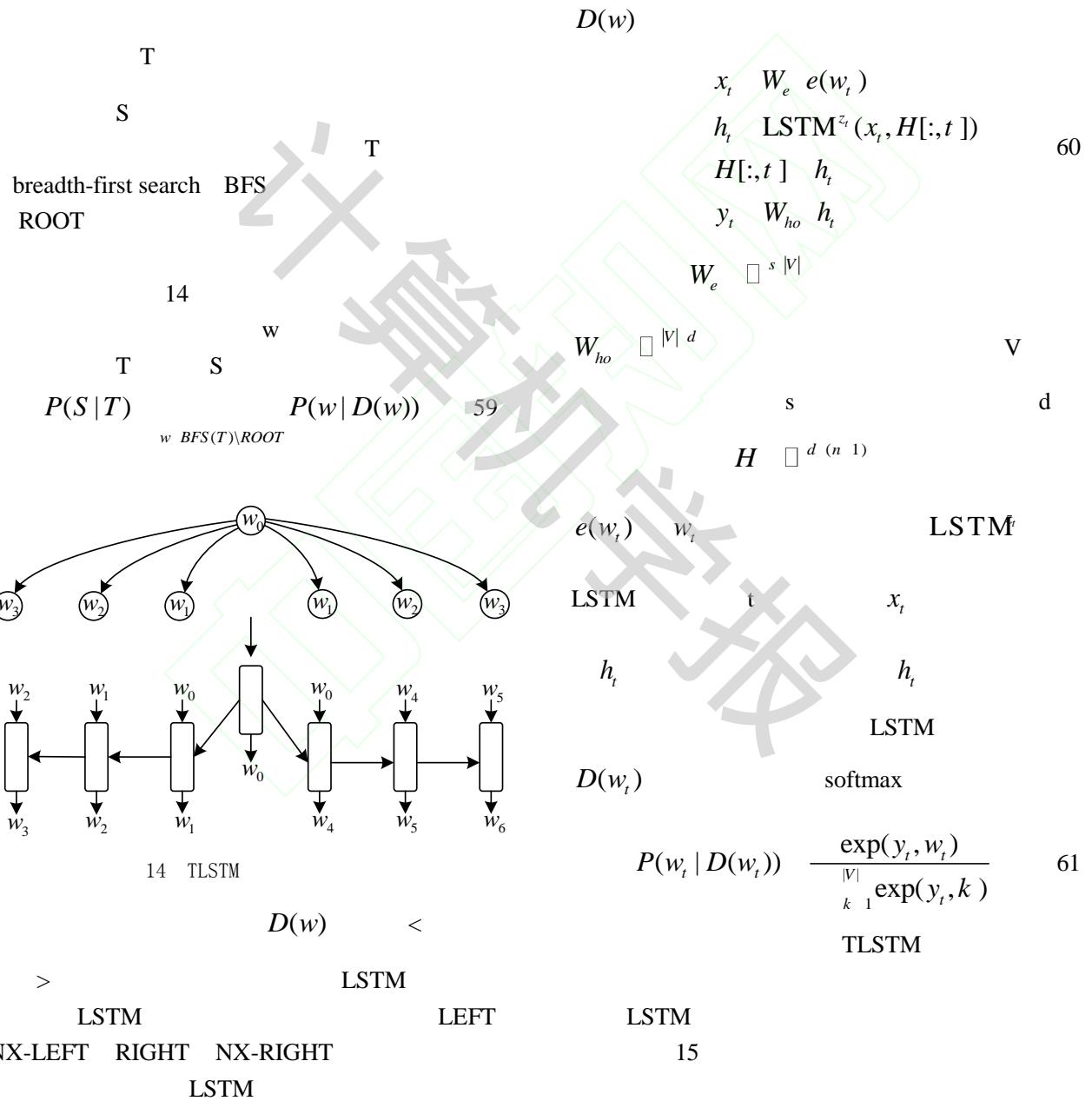
$$883$$

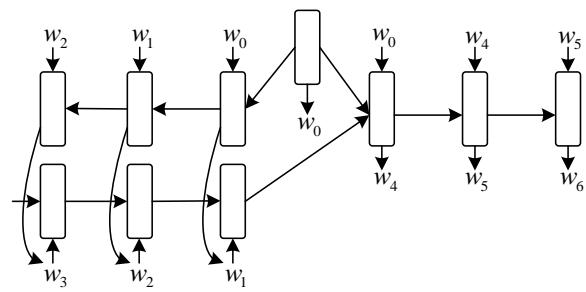
$$884$$

$$885$$
<math display



13





15

 $w_0$



$$p_j^t = \text{soft max}(u^T \tanh(W_{-j} W_x x_t - W_{\sim t} \tilde{x}_{t-1})) \quad P(\hat{y} | \hat{x}, S) \quad P(\hat{y} | \hat{x}, S)$$

72

1

$$\begin{array}{ccccccc} \sim & m & & j & 73 & \hat{x} & \hat{y} \\ \sim & p_j^t & & & & & \\ \sim & j-1 & & j & & & \\ t & & & & & & \\ \end{array} \quad \quad \quad \begin{array}{c} k \\ a(\hat{x}, x_i) y_i \\ i=1 \end{array}$$

$$r_t \quad \quad \quad P(\hat{y} | \hat{x}, S)$$

$$r_t \quad (W_r \ [ \tilde{x}_t, x_t ] ) \qquad \qquad 74 \qquad \qquad c_s(\hat{x}) \qquad \qquad x_i, y_i$$

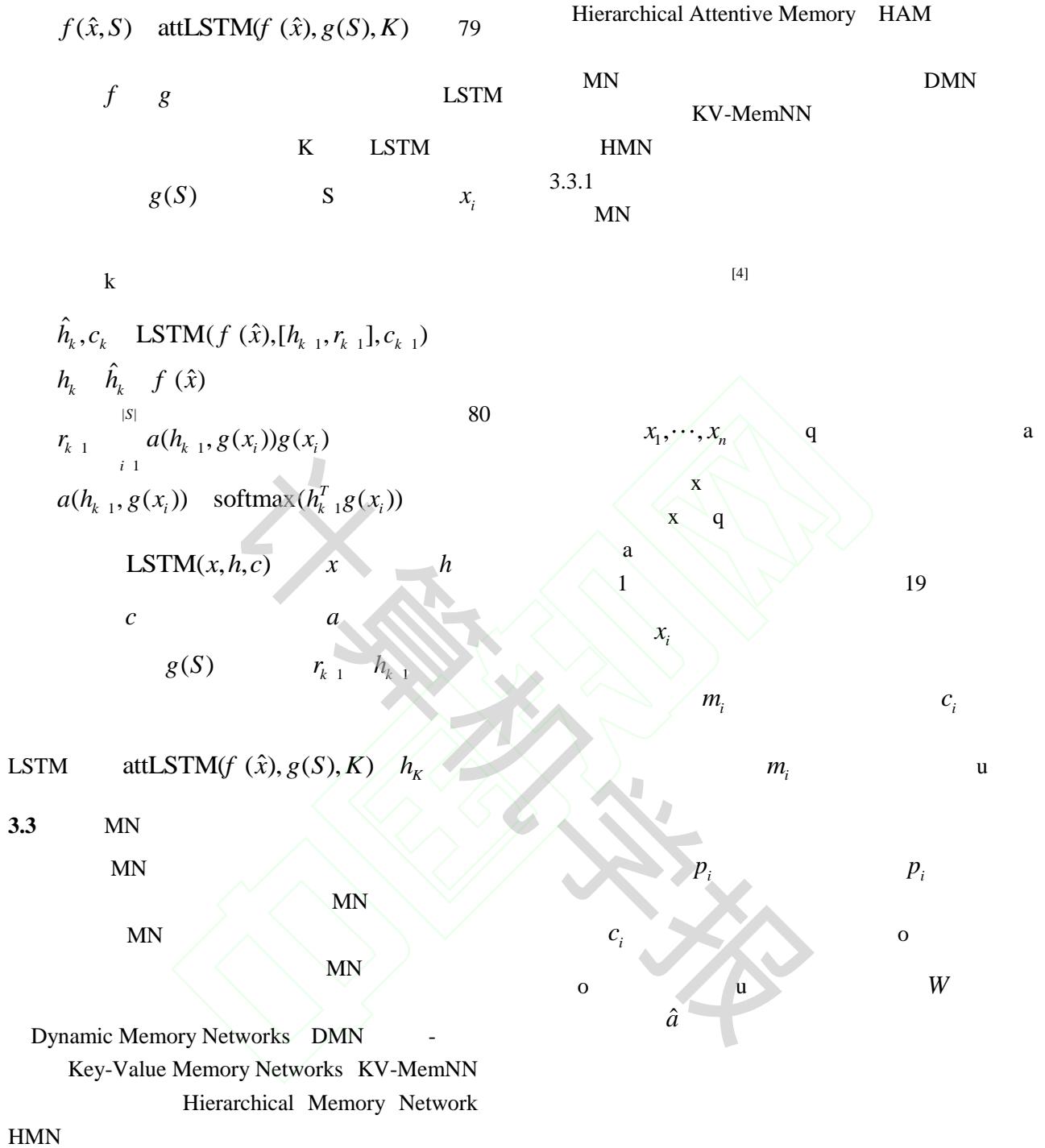
Stanford Sentiment Treebank  
LSTM  
T-CNN  
3.2.4 L

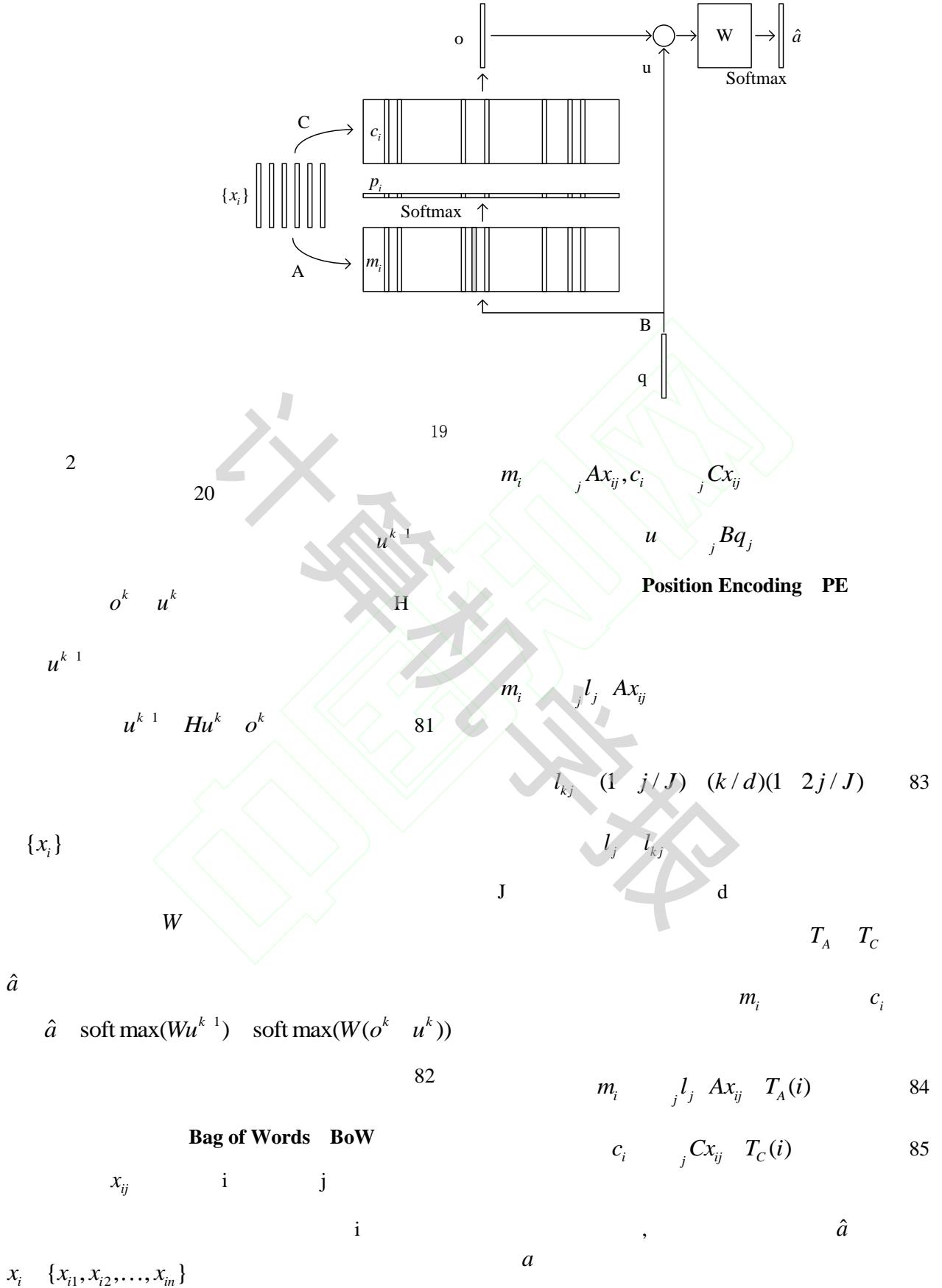
$$\begin{aligned} [40] \quad h_i, c_i & \quad \text{LSTM}(g(x_i), h_{i-1}, c_{i-1}) \\ h_i, c_i & \quad \text{LSTM}(g(x_i), h_{i-1}, c_{i-1}) \end{aligned} \quad 78$$

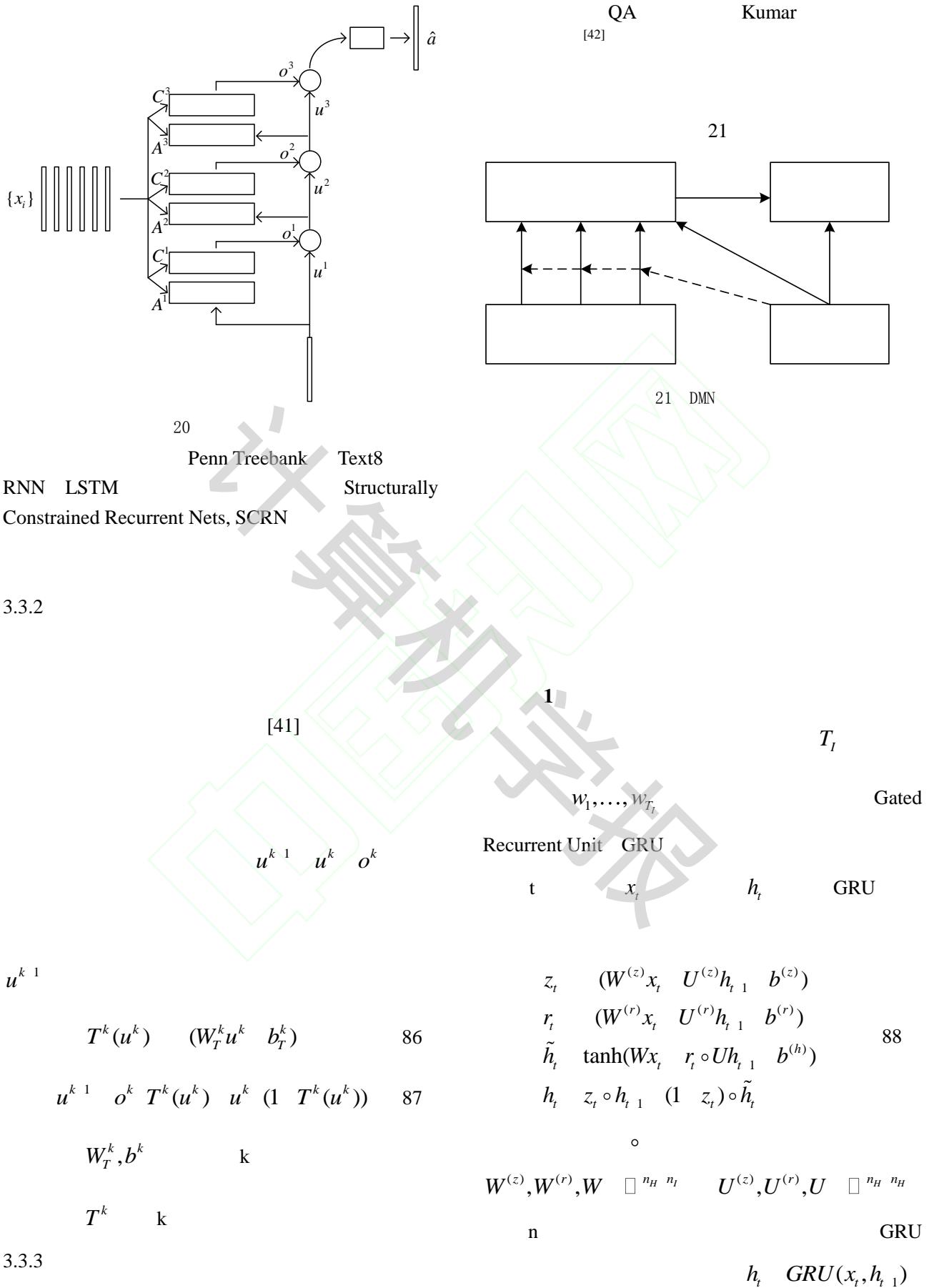
k -  $h_i$   $c_i$  LSTM

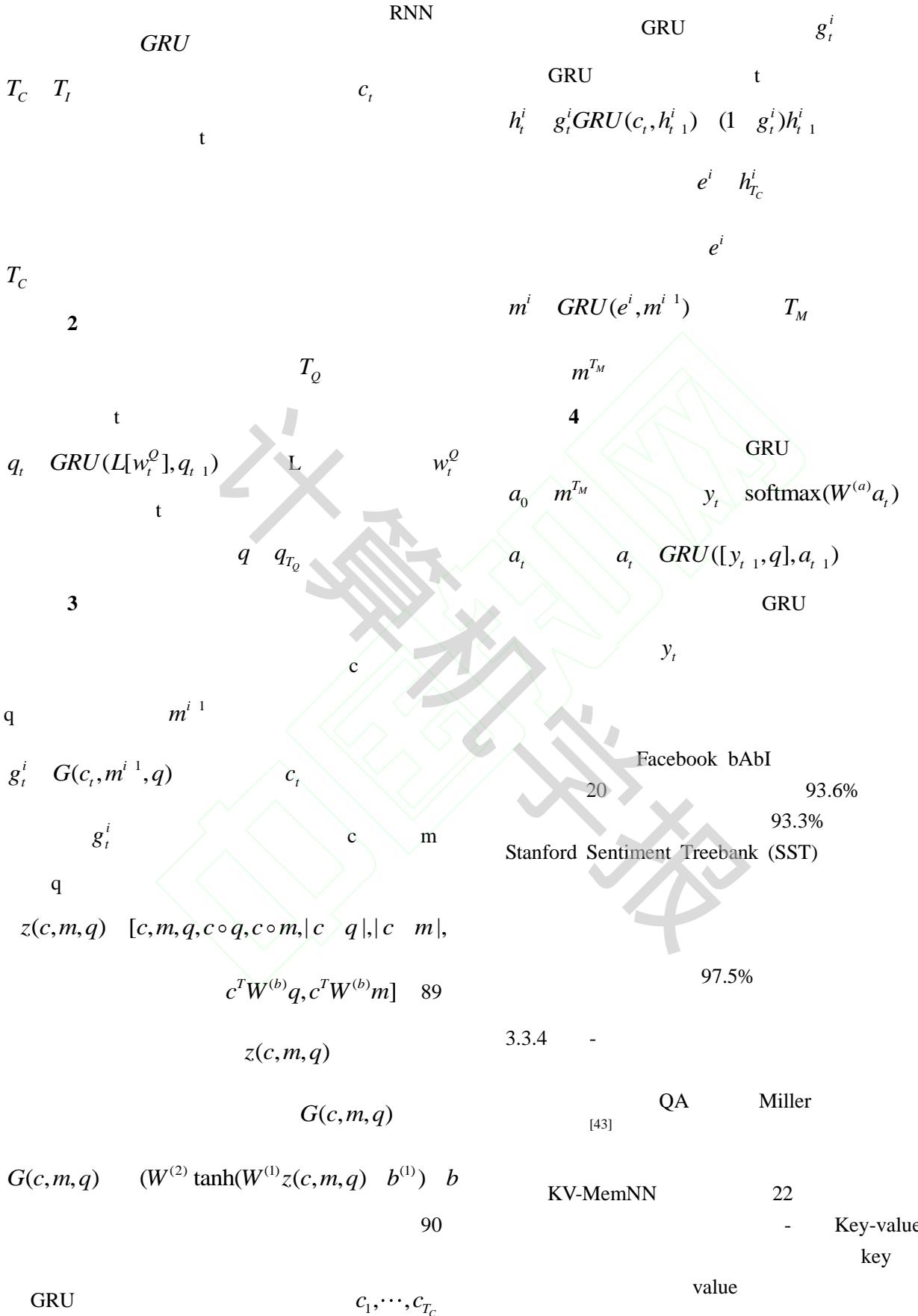
$$S = \{(x_i, y_i)\}_{i=1}^k \quad c_S(\hat{x}) \quad \tilde{h} \quad i \in |S|$$

$$S \quad c_s(\hat{x}) \quad \text{LSTM} \quad \text{attLSTM}(.,.)$$



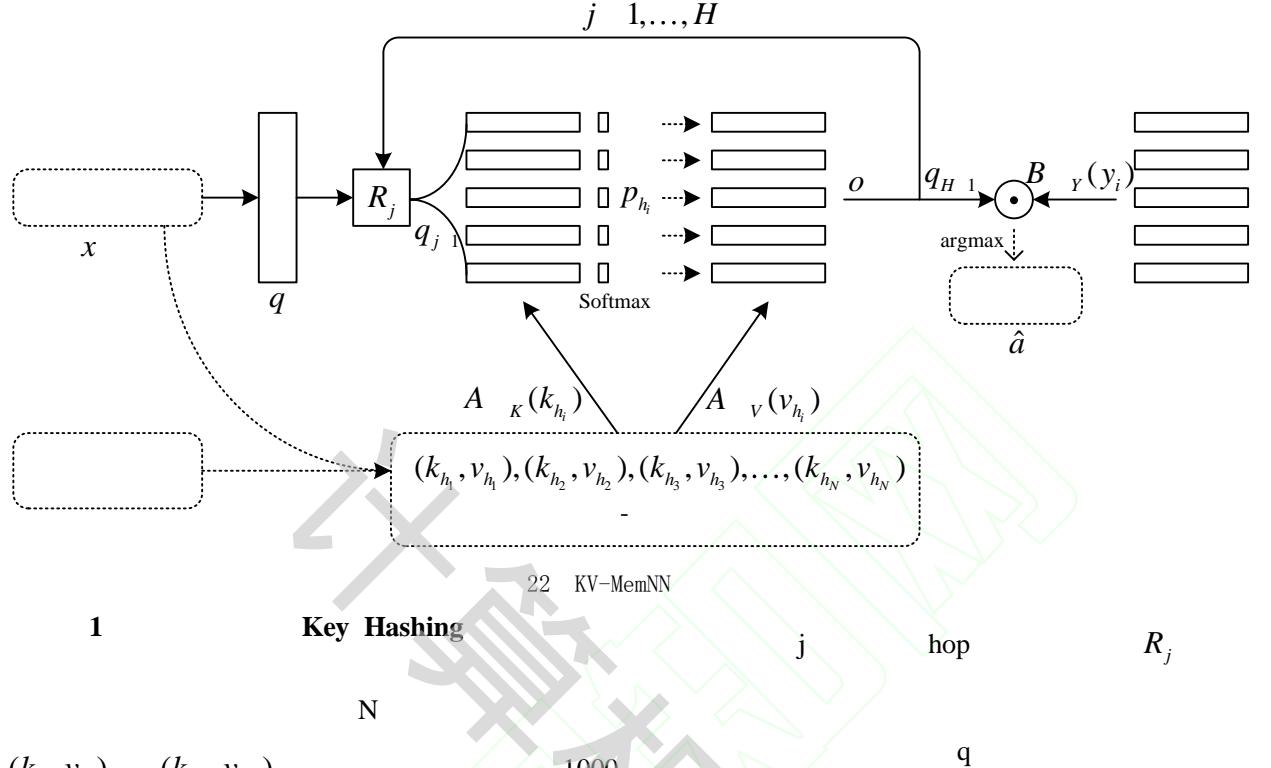






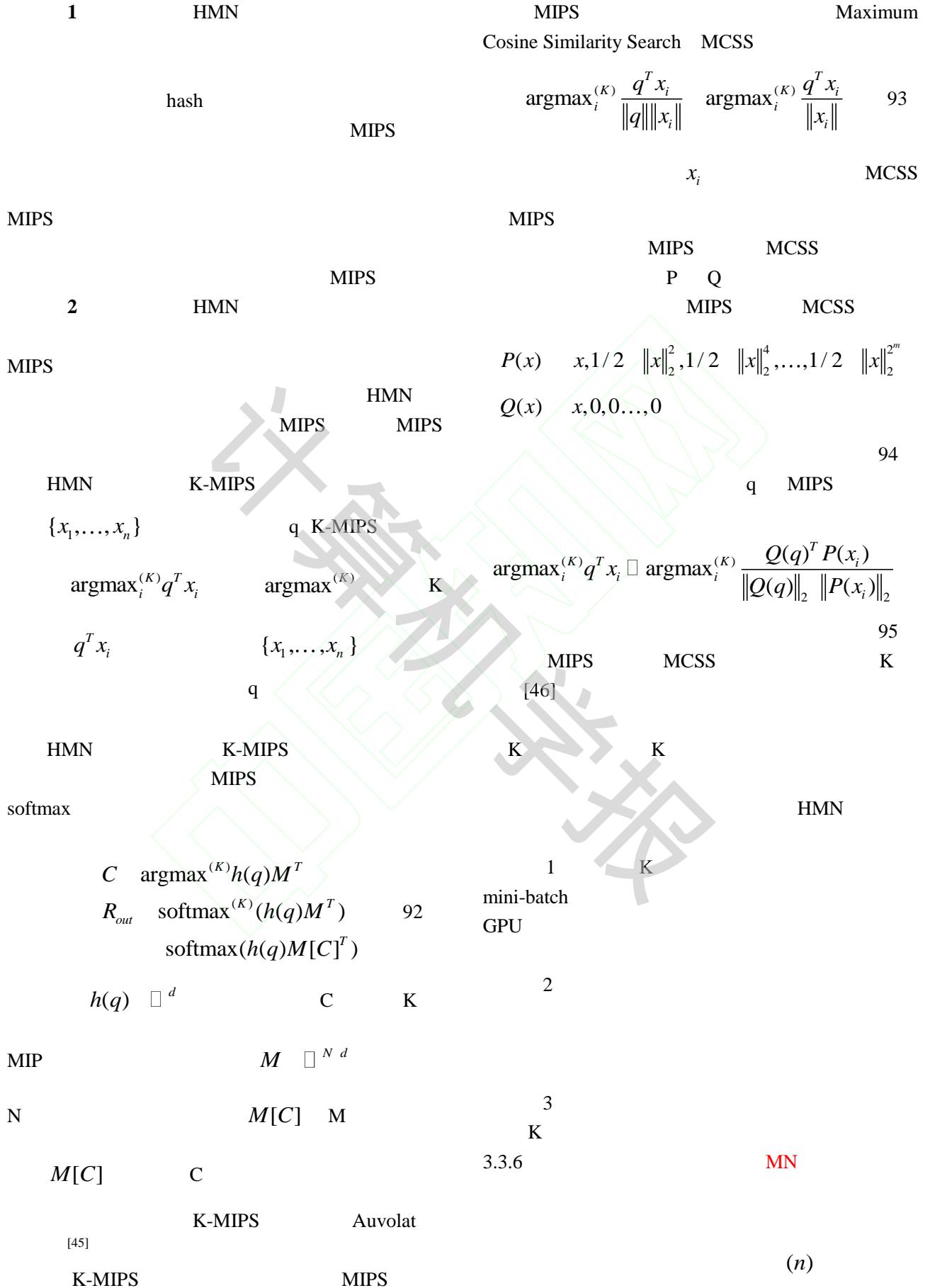
## KV-MemNN

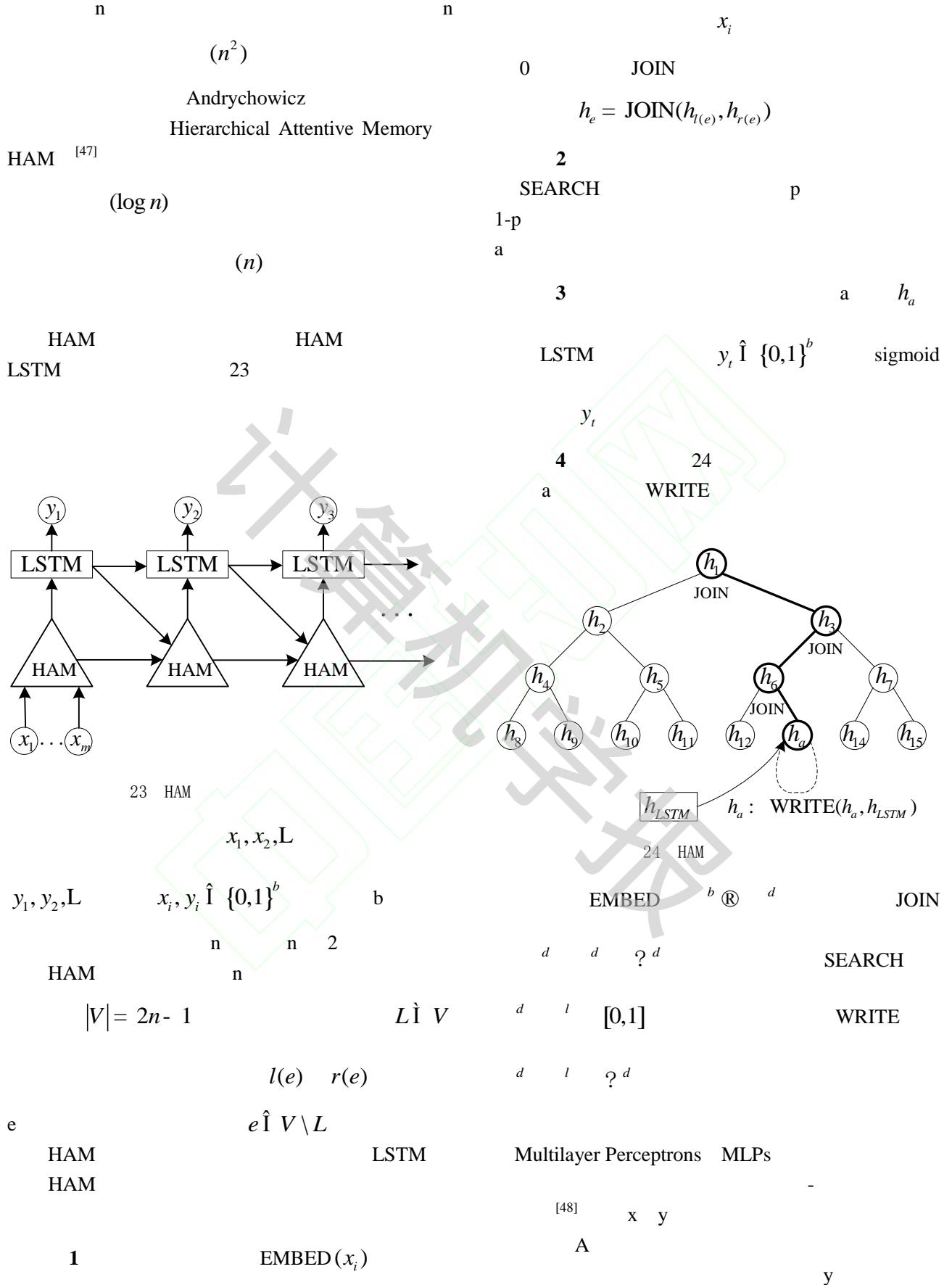
$$(k_1, v_1), \dots, (k_M, v_M)$$

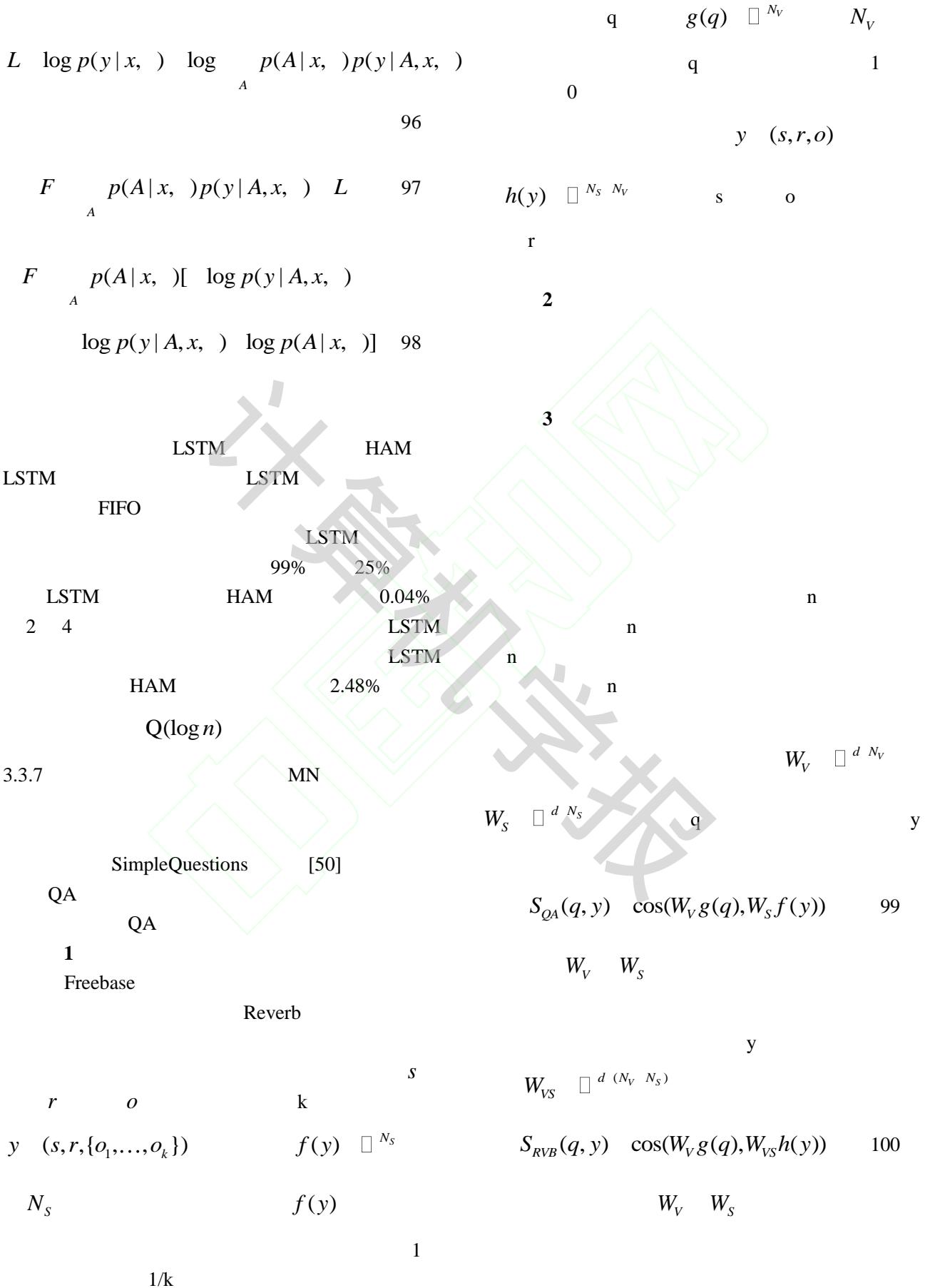


22 KV-MemNN  
**1 Key Hashing**  
**2 Key Addressing**  
**3 Value Reading**

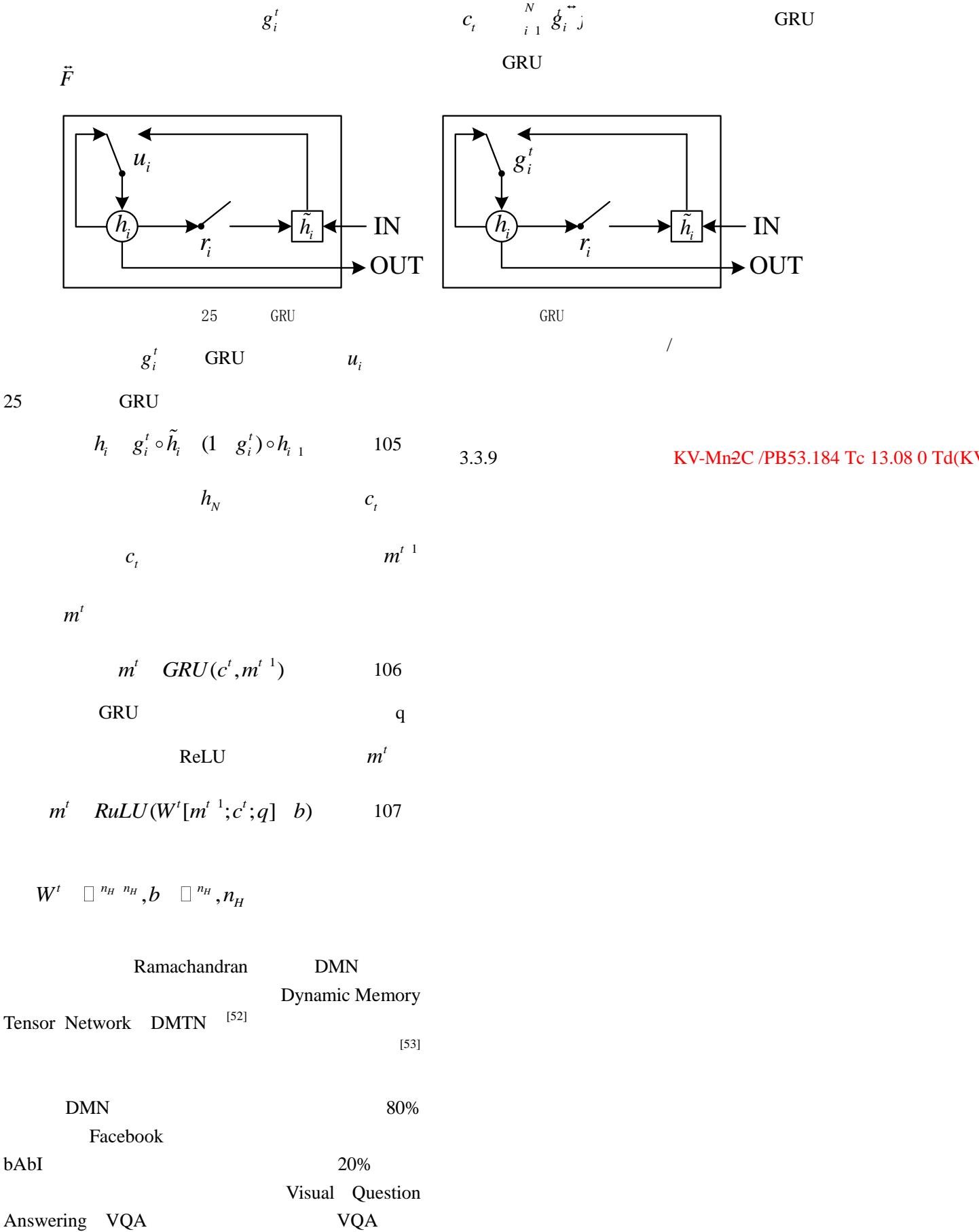
1000  
 $p_{h_i} \text{ Softmax}(A_x(x) \cdot A_K(k_{h_i}))$   
 $d' D$   
 $93$   
 $A$   
 $x$   
 $k_{h_i}$   
 $q$   
 $o$   
 $q_2 R_1(q \cdot o)$   
 $R_1$   
 $d d$   
 $x$   
 $MN$   
 $HMN$   
 $3.3.5$   
 $\text{softmax}_{j=1, \dots, C} \text{softmax}(q_j^T A_{K, h_i})$   
 $\hat{a}$   
 $y_i$   
 $Y$   
 $H$   
 $A$   
 $D$   
 $A_x(x)$   
 $A_K(k_{h_i})$   
 $p_{h_i}$   
 $\text{softmax}$   
 $q$   
 $o$   
 $Chandar$   
 $\text{Maximum Inner Product Search}$   
 $\text{MIPS}$   
 $\text{Hierarchical Memory Network HMN}$   
 $[44]$

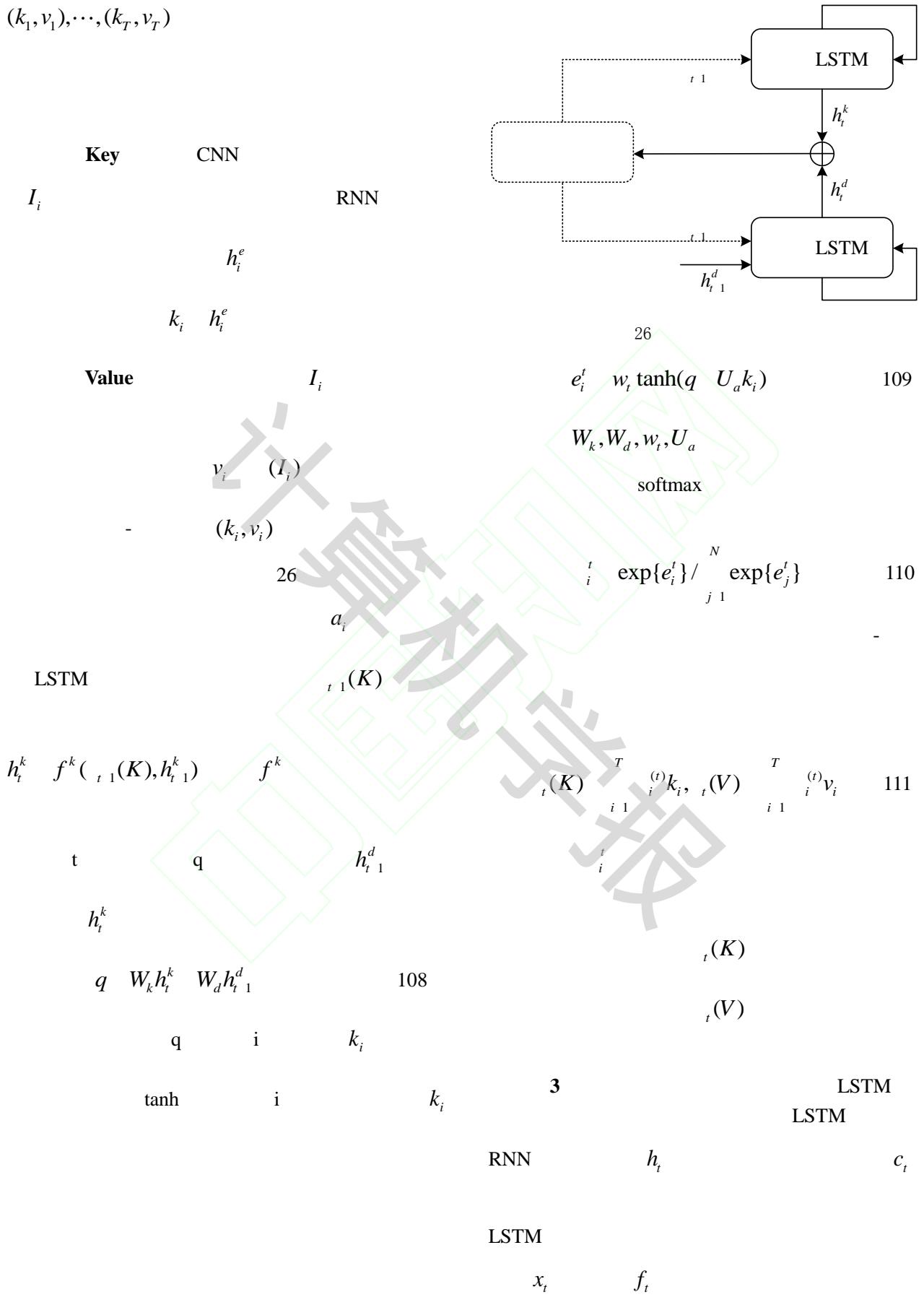






4





[55]

 $o_t$  $h_t$ 

softmax

k

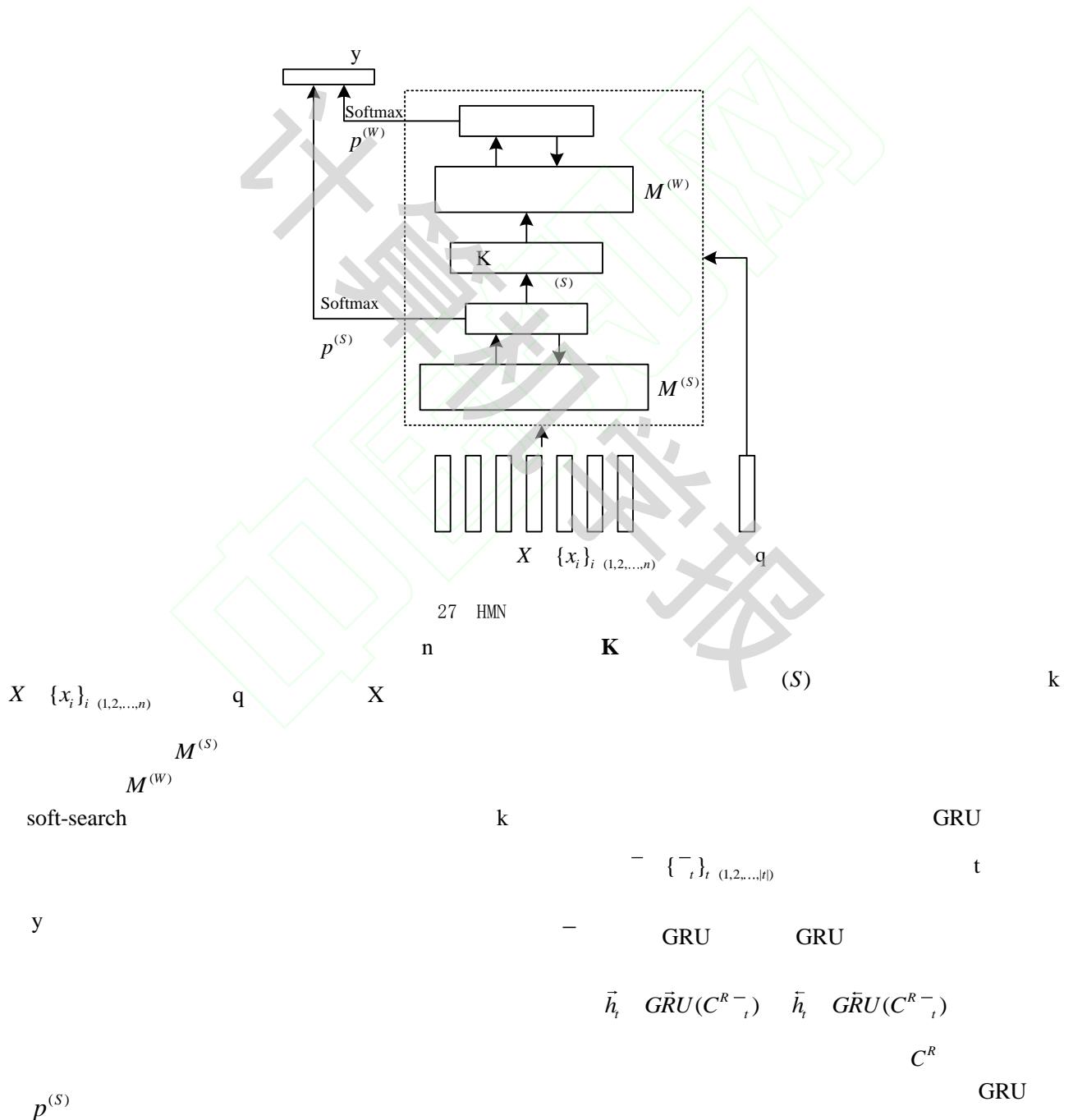
 $p_t$ 

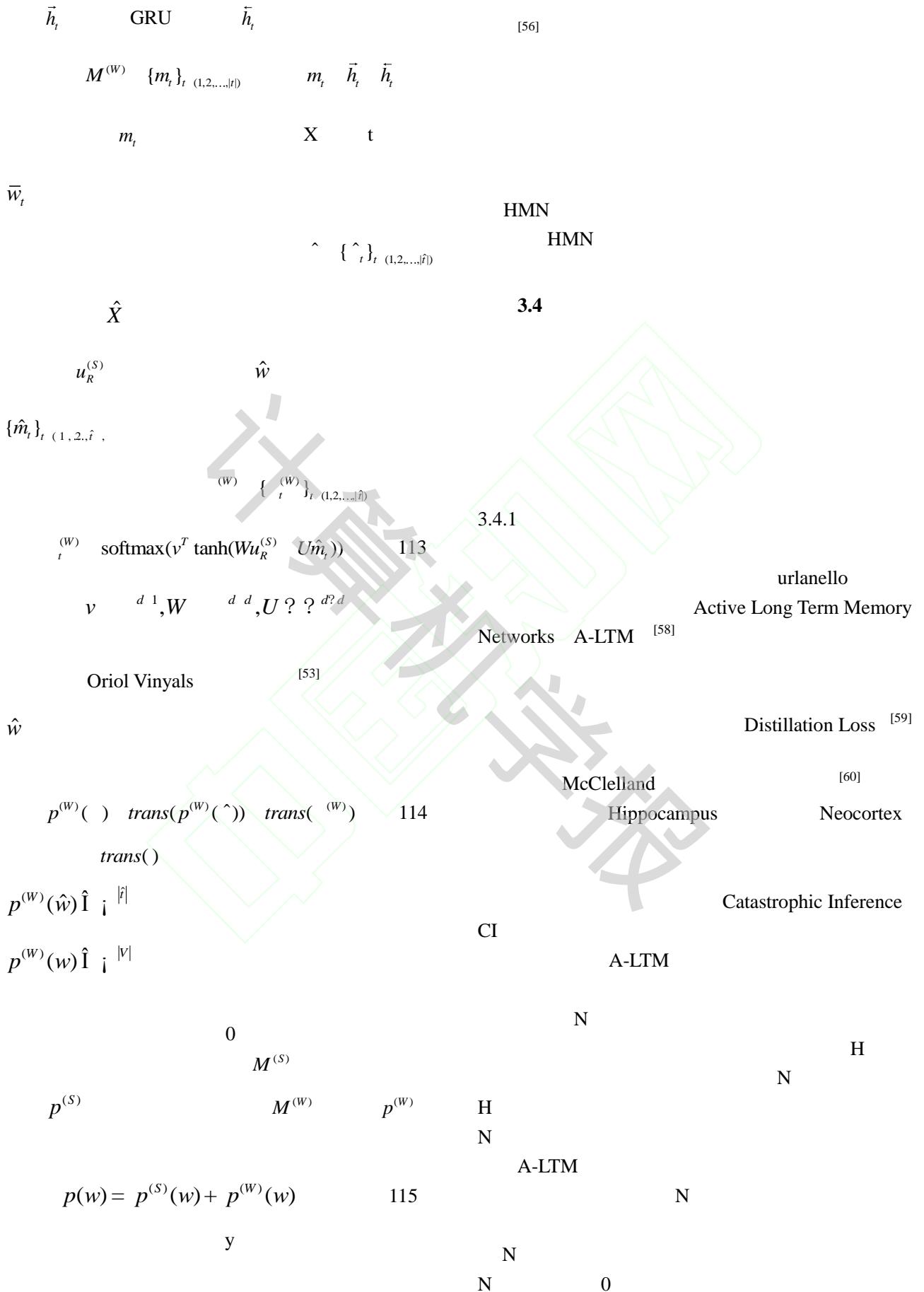
$$p_t = \text{soft max}(U_p[h_t, x_{t-1}(V)]) - b_p \quad 112$$

3.3.10

HMN

27





N H H  
H

$$w_0^0 \quad w_0^* \quad w_1^0 \quad w_1^* \quad w_2^0 \sim N(0, \sigma)$$

116

$$f(w_0^*, w_1^*; x_1)$$

N

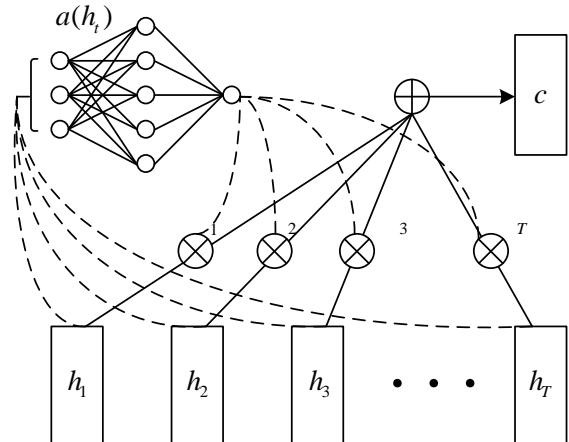
 $y_1$ 

3.4.2

Colin Raffel

[61]

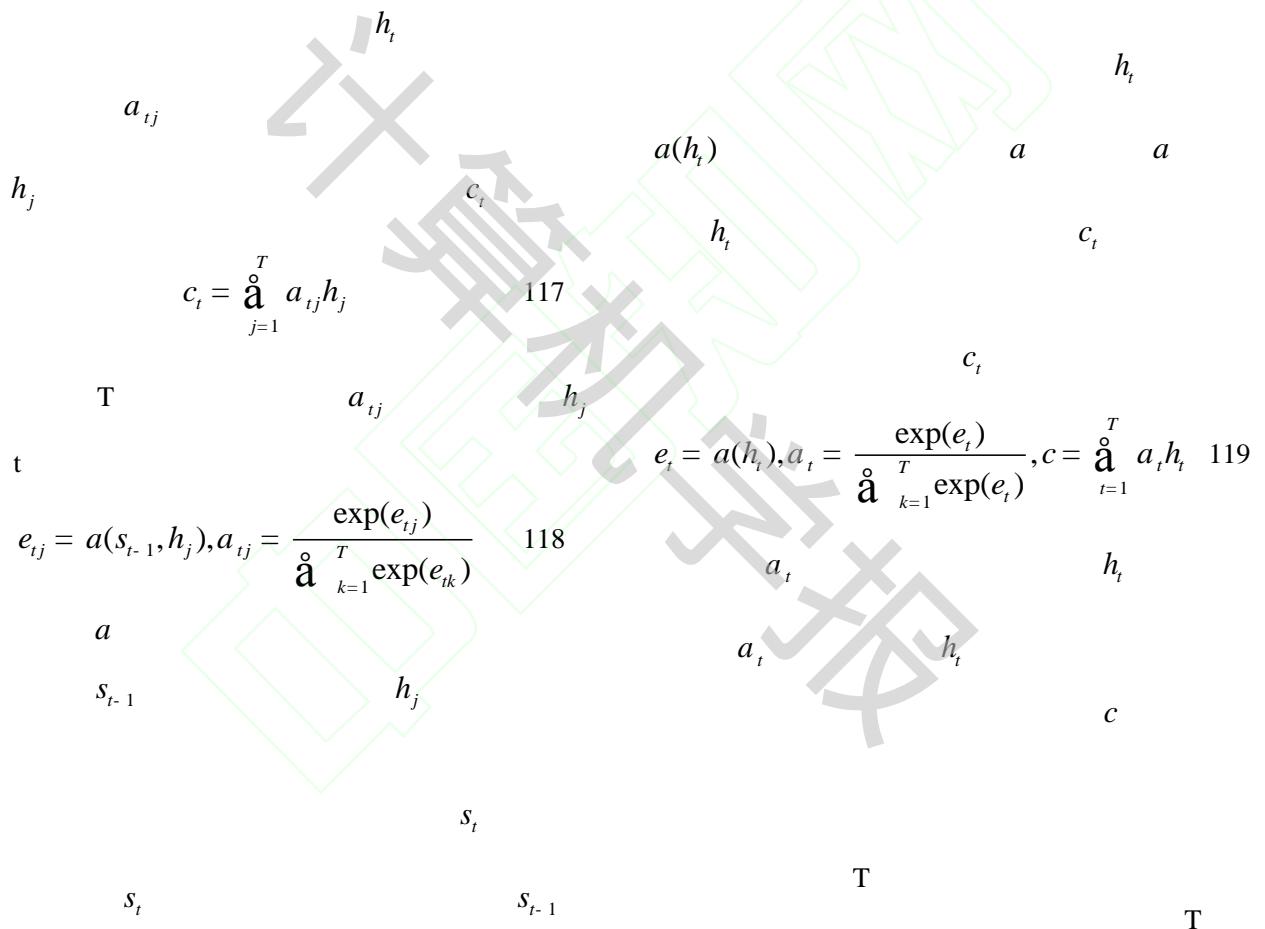
28



Bahdanau

[62]

28



T

T

 $c_t$ 

t-1

 $h_t$ 

$$c = \frac{1}{T} \hat{\mathbf{a}}^T h_t$$

 $x_t$

$$h_t = \text{LReLU}(W_{xh}x_t + b_{xh}) \quad 120$$

$$y = \text{LReLU}(W_{sy}s + b_{sy}), W_{sy}^T D, b_{sy}$$

$$W_{xh}^{D'2}, b_{xh}^D \quad \text{LReLU}(x)$$

$$y \quad 122$$

$$\text{LReLU}(x) = \max(x, 0.01x)$$

[63]

3.4.3

Gulcehre

Temporal Automatic Relation Discovery In  
Sequences TARDIS

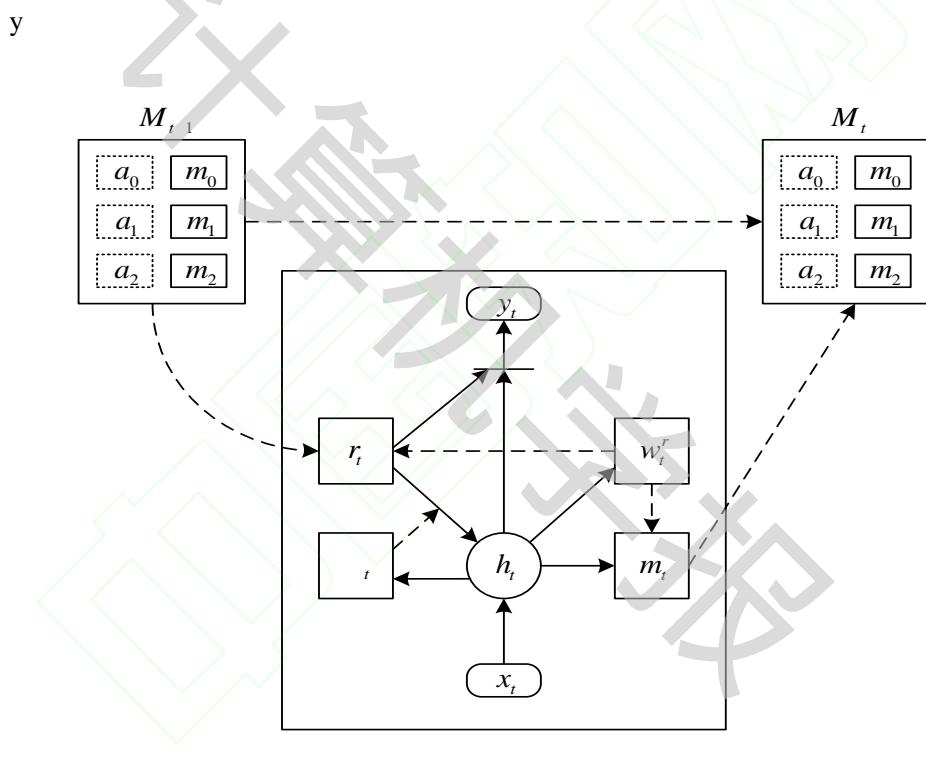
[64]

$$104 \quad c$$

s

$$s = \text{LReLU}(W_{cs}c + b_{cs}), W_{cs}^D, b_{cs}^D$$

121



29 TARDIS

TARDIS

29

\$M\_t\$

RNN

\$w\_t^r\$

\$M\_t\$

\$h\_t\$

\$x\_t\$

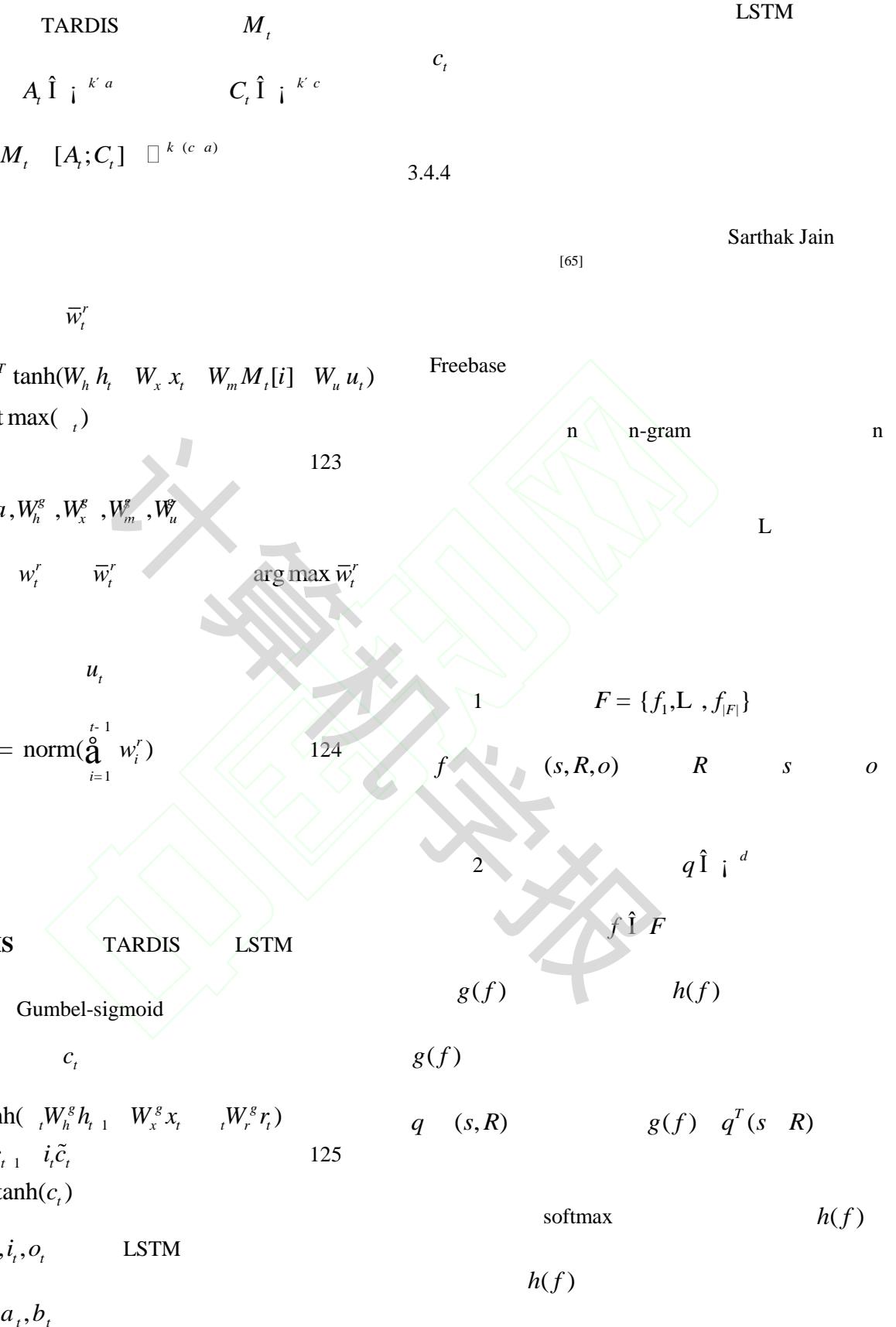
\$r\_t\$

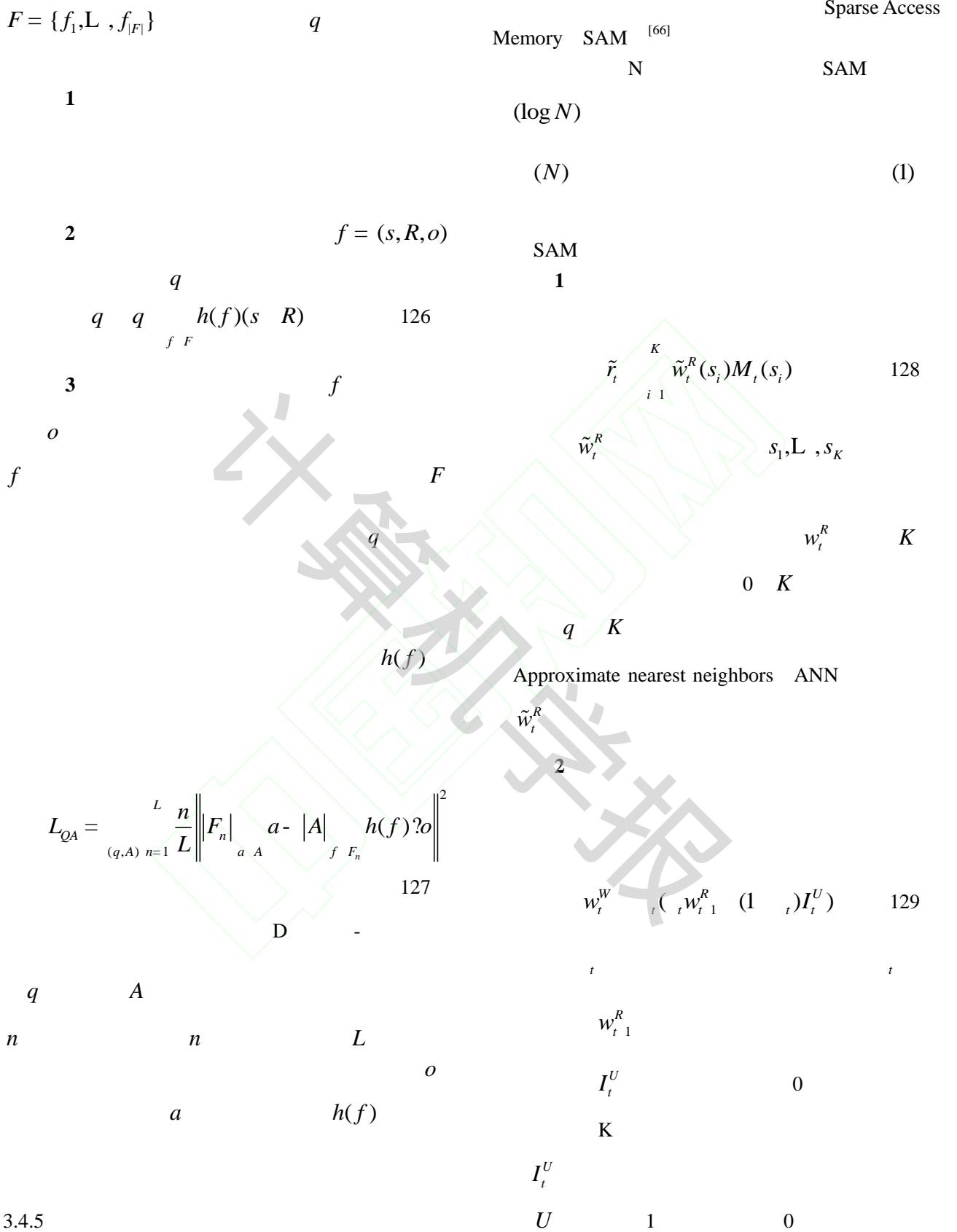
\$r\_t = (M\_t)^T w\_t^r\$ TARDIS

\$h\_{t-1} \quad h\_t \quad (x\_t, h\_{t-1}, r\_t)\$

\$M\_t[i] - W\_m h\_t\$

TARDIS





$$U_T^{(1)}(i) = \sum_{t=0}^T l^{T-t} (w_t^W(i) + w_t^R(i)) \quad 130$$

LSTM

 $y_t$ 

$$U_T^{(2)}(i) = T - \max \{t : w_t^W(i) + w_t^R(i) > d\} \quad 3.4.6$$

NTM

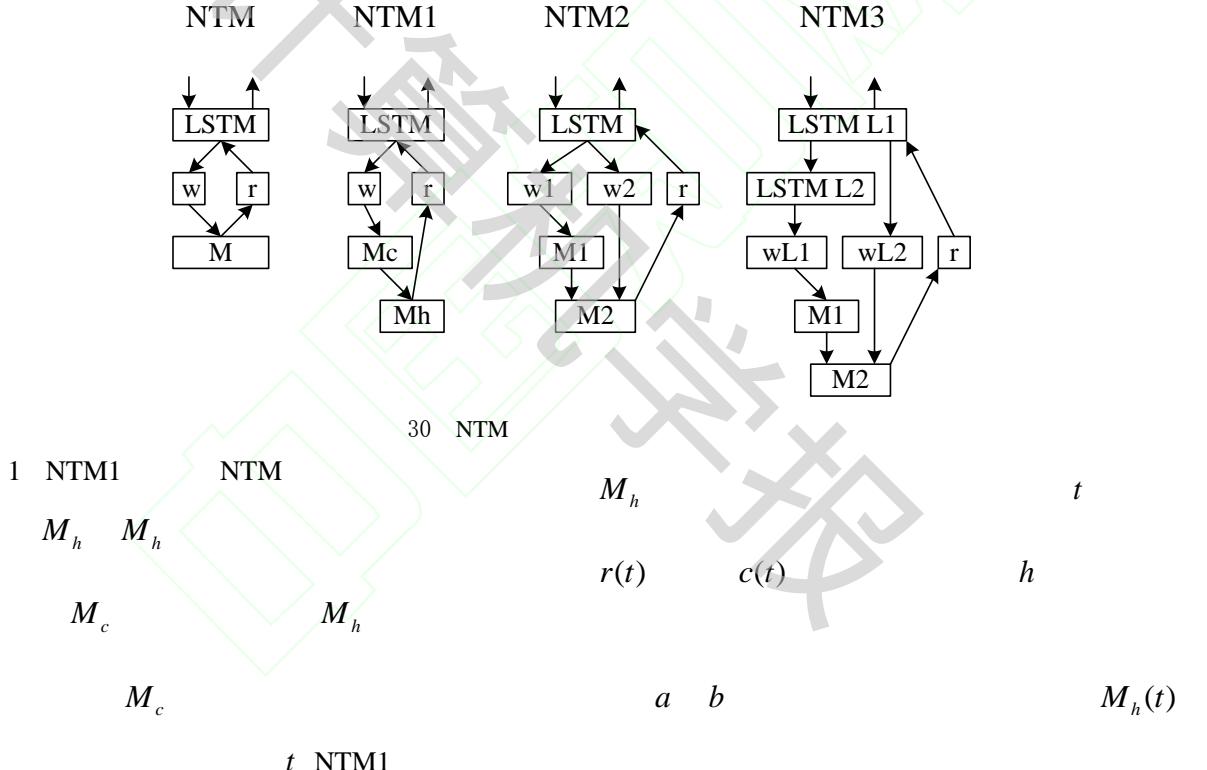
131

$$d \quad \text{Zhang}$$

3 LSTM [67]

 $r_{t-1}$ 

$$p_t = (q_t, a_t, r_t, g_t)$$



$$M_c(t) = h(M_c(t-1), w(t-1), c(t))$$

2 NTM2

 $M_1 \quad M_2$ 

$$M_h(t) = aM_h(t-1) + bM_c(t) \quad 132$$

 $M_2$ 

$$r(t) = w_r(t)M_h(t)$$

 $w_2$ 

$$M_c \quad t-1$$

$$w(t-1)$$

$$M_1$$

$$w_1$$

 $t$

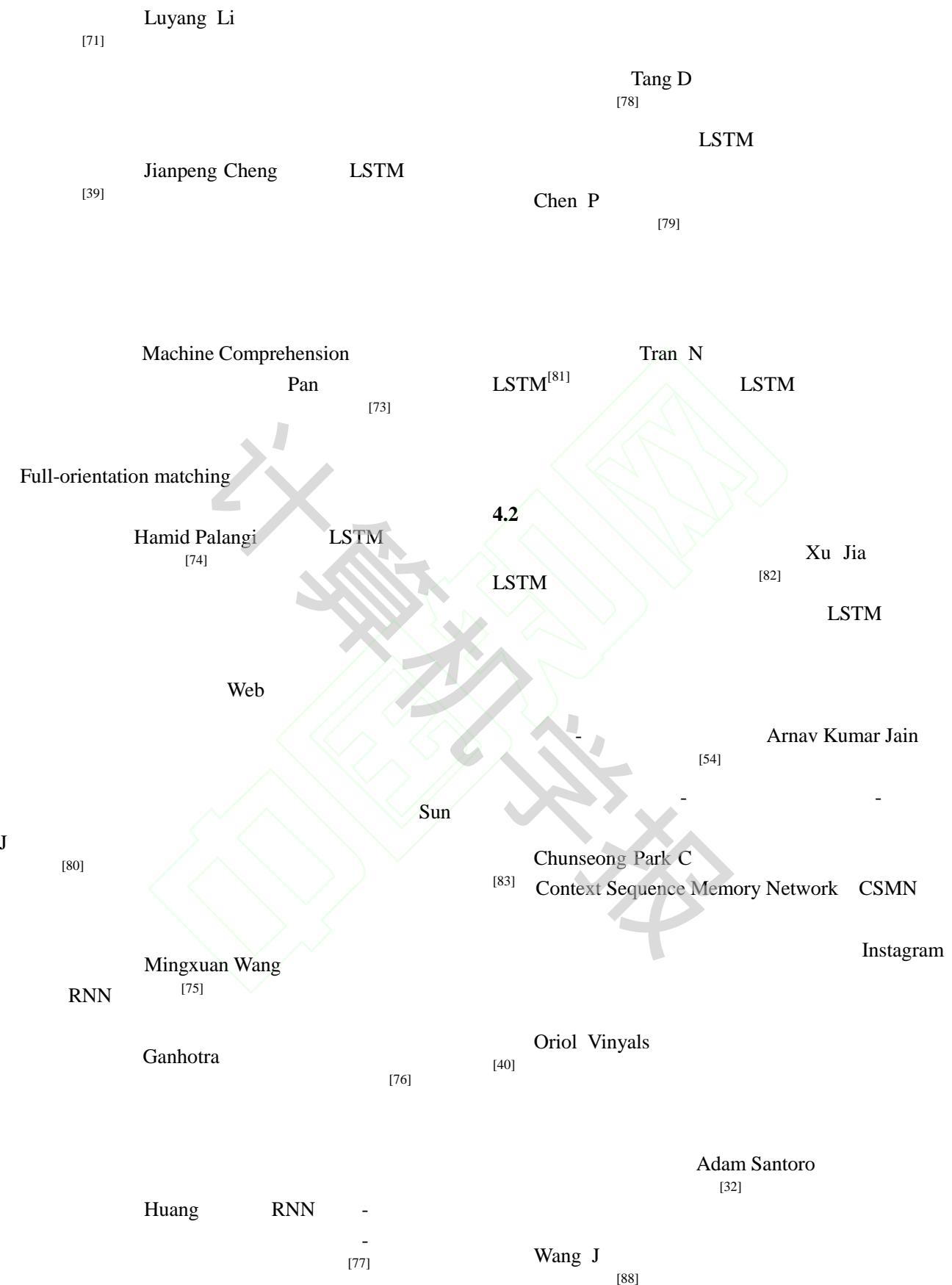
$$M_2 \quad M_1 \quad w_2 \quad \text{NTM2}$$

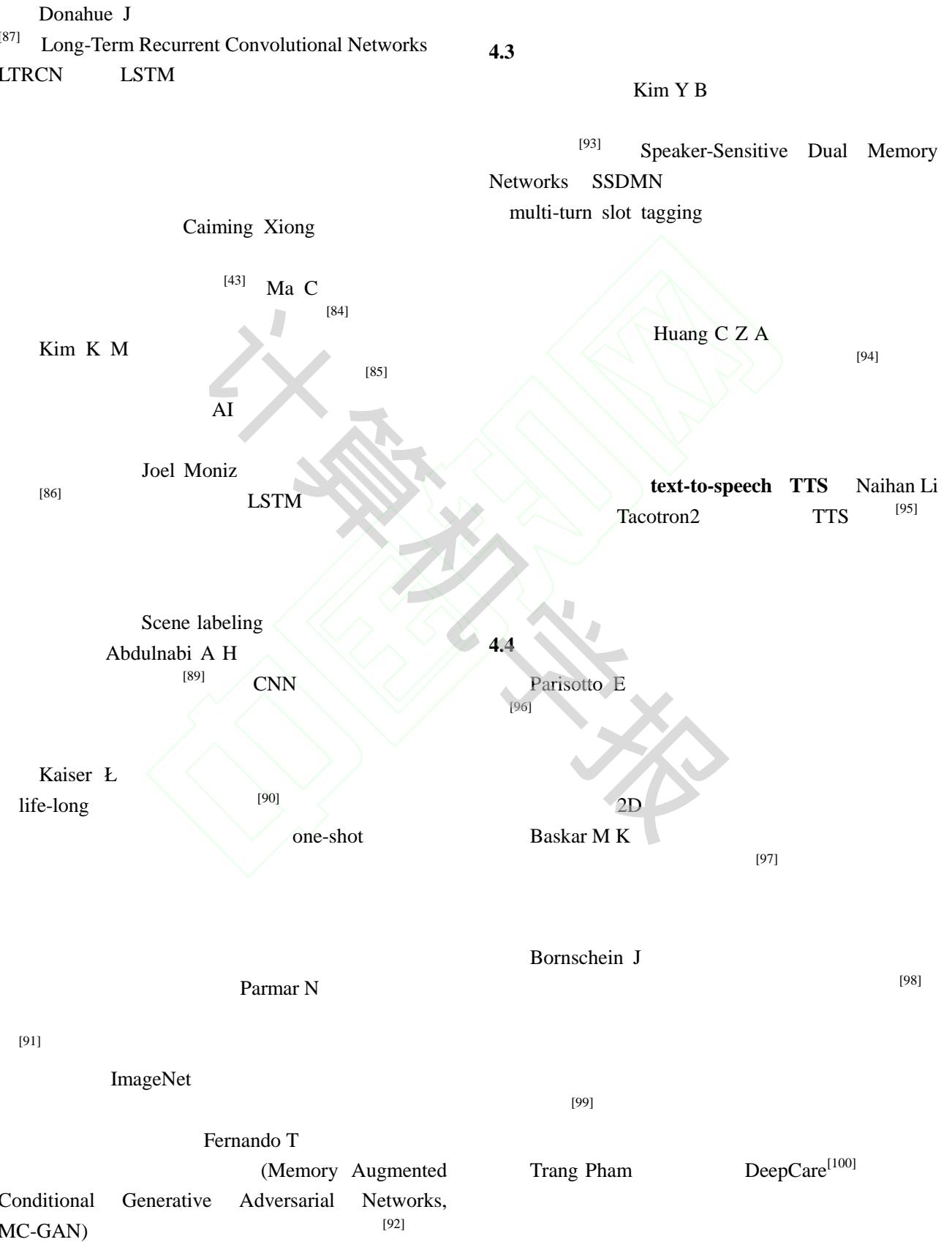
$$\begin{aligned} M_1(t), w_1(t) &= h(M_1(t-1), w_1(t-1), c(t)) \\ M_2^0(t), w_2(t) &= h(M_2(t-1), w_2(t-1), c(t)) \quad 133 \\ M_2(t) &= aM_2^0(t) + bM_1(t) \\ r(t) &= w_r(t)M_2(t) \end{aligned}$$

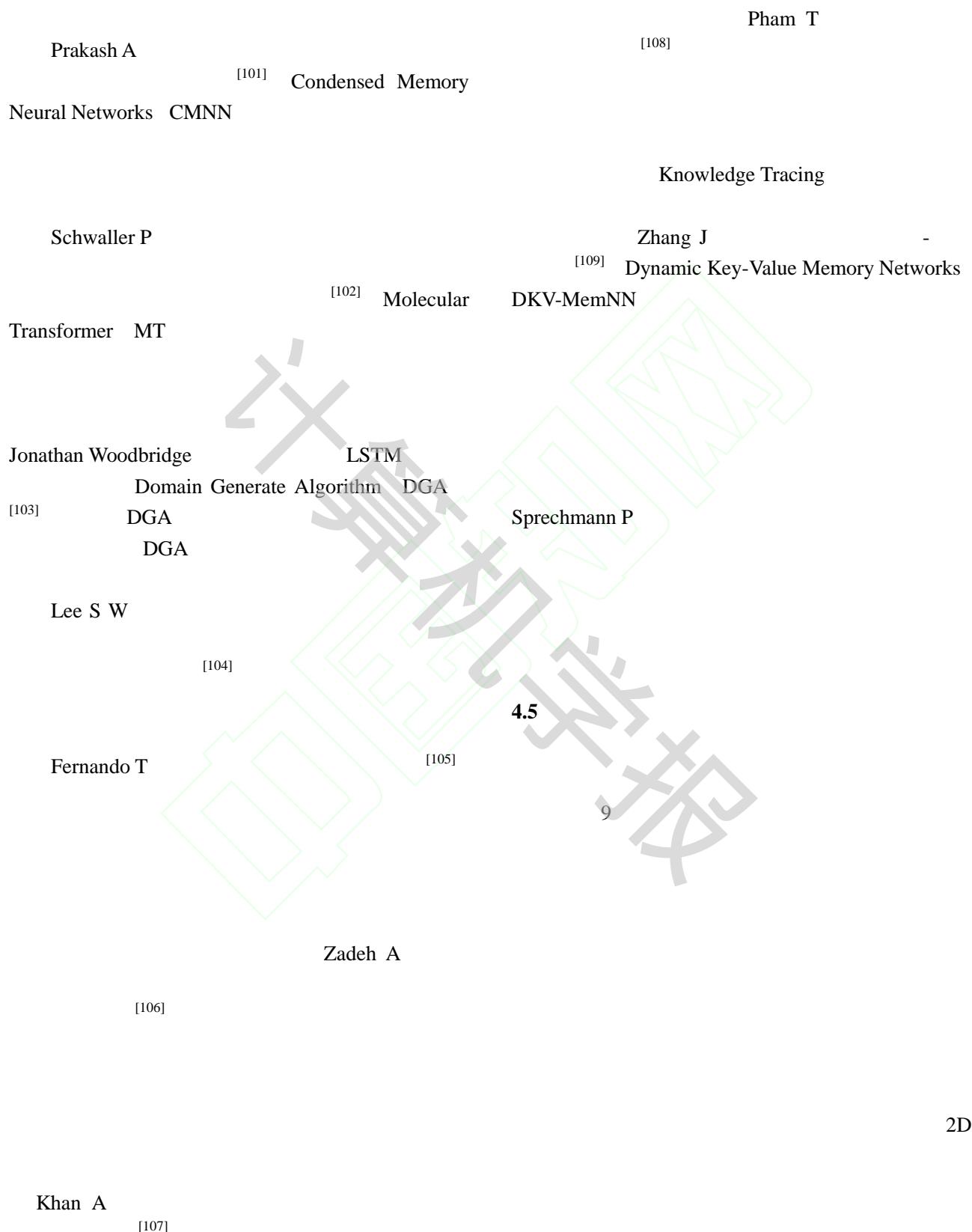
$$M_1 \quad M_2$$

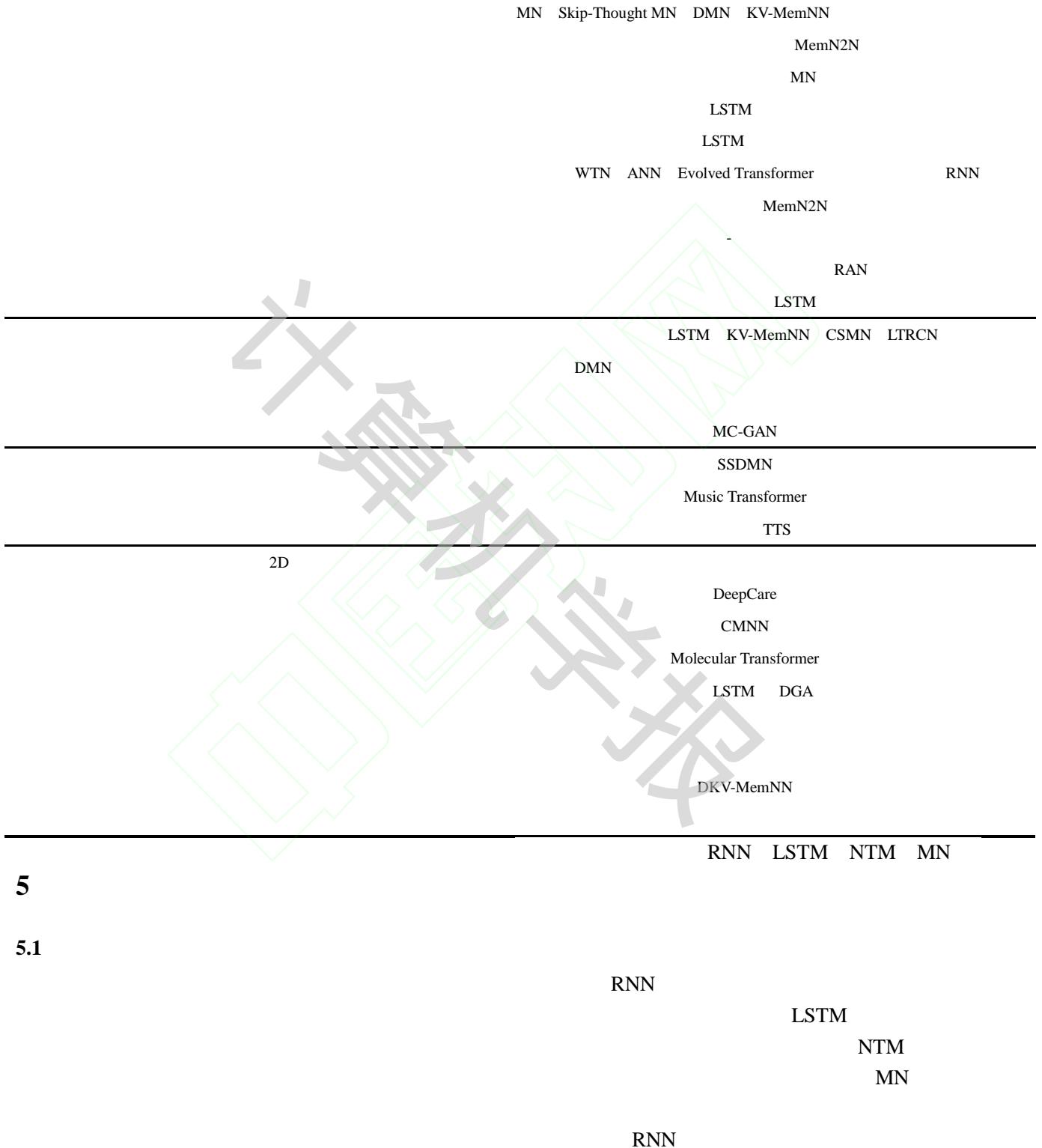
$$M_2$$

$$3 \quad \text{NTM3}$$









MN  
[111]

4

-  
Tishby [112]

[113]

5

**5.2**

MN

LSTM

6

[23]

1

7

B. ~~EMBED~~

2

3 MN

MN

LSTM

MN

9

10

16

CNN

GAN

[90]

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13

[100-102]

[103]

[107]

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## Background

Deep memory network is a general term for neural network models with memory function, which is mainly to solve the prediction problem of sequence-dependent dependence, and can be predicted by memorizing the effective information learned before. Memory network usually have independent memory modules or other structures capable of memory function. The former stores important information in an independently readable and writable memory and reads it when needed; while the latter method usually modify the internal structure of the cell to retain the information that needs to be remembered.

Deep memory network have achieved unprecedented performance in a wide variety of different application areas. For example, image classification, face recognition, human-level concept learning, playing Atari games and AlphaGo.

Deep memory network combines the benefits of memory network and deep learning. On one hand, memory network has a wider scope of applicability since it can enhance the memory of the model. On the other hand, deep learning can extract a good representation at different levels of abstraction, which disentangles better the factors of variations underlying the data.