



Article An Improved Control Method Based on Source Current Sampled for Shunt Active Power Filters

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Abstract: Active power filters (APFs) are dynamic power electronic devices that suppress harmonics and reactive power. To simplify the detection and extraction of traditional APF harmonics and reactive

source current detection can be established, and a compensation principle and control method can be systematically analyzed. This approach can achieve tracking control without static errors through a proportional-integral (PI) controller.

For active power filters, an outer-loop control system composed of DC-link capacitor voltages is important for implementing the APF compensation effect [11,12]. There are active losses in both DC-link capacitors and power modules during APF operation. Meanwhile, in complex industrial applications load fluctuations will cause grid voltage fluctuations at the APF common coupling point, which will affect the compensation performance of the APF. In [13], the stability of DC-link capacitor voltage was a prerequisite for the optimal compensation of harmonic currents using an APF. Several commonly used control methods have been used to maintain the stability of DC-link capacitor voltages, including traditional PI control [14], adaptive PI control [15], fuzzy PI control [10,16], and sliding mode PI control [17,18]. In [19,20], the normal operation of an APF was preconditioned on the DC-link voltage value being greater than the peak value of the system side line voltage, and a minimum DC-link voltage was required to maintain the linear modulation range. In [1,21], the influence of the DC-link voltage standard value (the voltage reference value is the effective value of the grid phase voltage) on the APF compensation performance was analyzed, and a general scheme for designing a DC-link voltage rating was proposed. Many scholars focused on stabilizing the DC-link voltage at a fixed value, which does not change with the change in the grid voltage. When the grid voltage changes, the DC-link voltage should change with the grid voltage to improve the compensation performance and reduce power losses of the APF. In summary, the value and control strategy of the DC-link voltage must comprehensively consider the compensation performance and power loss of the APF.

This study used a three-phase three-wire shunt APF as an example. First, a mathematical model of APF source current control was established, and the basic principle of source current control was analyzed. Then, through feedforward and decoupling control, a double closed-loop cascade control structure of the voltage outer loop and the current inner loop was realized. Moreover, the relationship between DC-link voltage and APF power loss and compensation performance was analyzed. A scheme for controlling the DC-link voltage command value with a droop controller was proposed to optimize the DC-link voltage and balance the power loss and compensation performance of the APF system. Finally, the validity and feasibility of the proposed control scheme were verified by simulation and the experimental results.

2. Materials and Methods

2.1. Basic Principle of APF Source Current Control

A topology of the shunt APF system that uses the voltage source inverter is shown in Figure 1. Six insulated-gate bipolar transistors (IGBTs) form an inverter bridge. The nonlinear load consists of a three-phase uncontrolled rectifier bridge, an external resistor R_n and an inductor L_n . The DC-link voltage U_{dc} is provided by the DC side energy storage capacitor C. The AC side is integrated into the grid through the filter side inductor L. R is the equivalent loss resistance of the filter inductor. R_L is the load effect caused by the APF switching loss. N is the ground point on the DC side. O is the midpoint of the three-phase grid.

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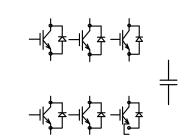


Figure 1. Topology of shunt-type active power filters (APF).

Assuming that the three-phase three-wire shunt APF is a balanced system, according to Kirchhoff laws, the mathematical model of an APF in a-b-c coordinate system [22,23] is shown in Equation (1).

$$L\frac{dI_{Ca}}{dt} + Ri_{Ca} = u_{Sa} - S_a U_{dc} - u_{NO}$$

$$L\frac{dI_{Cb}}{dt} + Ri_{Cb} = u_{Sb} - S_b U_{dc} - u_{NO}$$

$$L\frac{dI_{Cc}}{dt} + Ri_{Cc} = u_{Sc} - S_c U_{dc} - u_{NO}$$

$$C\frac{dU_{dc}}{dt} = i_{Ca}S_a + i_{Cb}S_b + i_{Cc}S_c$$

$$u_{NO} = -\frac{1}{2}U_{dc}(S_a + S_b + S_c)$$
(1)

where i_{Ca} , i_{Cb} , and i_{Cc} are the APF output currents, u_{Sa} , u_{Sb} , and u_{Sc} are the three-phase grid voltages, S_a , S_b , and S_c are the duty cycles of six switches, and $S_i \in [0, 1]$ (*i* is a, b, or c). From the topology of the shunt APF and the meaning of each current, we can obtain Equation (2).

$$i_{S} = i_{L} + i_{C}, i_{L} = i_{Lp} + i_{Lq} + i_{Lh}$$

$$i_{C} = -(i_{Lh} + i_{Lq}), i_{S} = i_{Lp}$$
(2)

The basic principle of source current control is to directly control the source current i_S of the APF system. The fundamental active component i_{Lp} of the detected load current is used as a command current. Then, it is compared with the actual source current i_S to control the source current and track changes in the command current. Finally, harmonic compensation control is achieved. The grid current only contains the active component of the fundamental wave.

To study the basic principle of APF source current control more specifically and accurately, by using Equations (1) and (2) and the abc-dq transformation (3), the mathematical model of APF source current direct control is transformed from a three-phase a-b-c coordinate system to a two-phase rotating d-q coordinate system as shown in Equation (4).

$$L\frac{di_{Sd}}{dt} - L\frac{di_{Ld}}{dt} = u_{Sd} - R(i_{Sd} - i_{Ld}) + \omega L(i_{Sq} - i_{Lq}) - S_d U_{dc}$$

$$L\frac{di_{Sq}}{dt} - L\frac{di_{Lq}}{dt} = u_{Sq} - R(i_{Sq} - i_{Lq}) - \omega L(i_{Sd} - i_{Ld}) - S_q U_{dc}$$

$$\frac{2}{3}C\frac{dU_{dc}}{dt} = S_d(i_{Sd} - i_{Ld}) + S_q(i_{Sq} - i_{Lq}) - \frac{2U_{dc}}{3R_1}$$
(4)

where, ω is the grid angular frequency, i_{Sd} and i_{Sq} are the d-, and q-axis components of the source current, respectively, i_{Ld} and i_{Lq} are the d-, and q-axis components of the load current, respectively, u_{Sd} and u_{Sq} are the d- and q-axis components of the grid voltage, respectively, and S_d and S_q are the d-

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power loss and compensation performance was analyzed. Increasing the DC-link voltage will increase the power loss, and reducing the DC-link voltage will decrease the compensation capacity of APFs. Therefore, DC-link voltage control is a key factor that limits APF compensation performance and power losses.

2.2.2. Influence of DC-Link Voltage on APF Power Losses and System Compensation Performance

The APF power losses mainly include the hysteresis loss of the output inductor, copper loss [27], the switching device loss of the PWM inverter [28] and conduction power losses of inverters. In general, the hysteresis loss of the output inductor is mainly proportional to the switching ripple current contained in the APF output current [29]. The hysteresis loss of the output inductor can be reduced to a relatively low value with a magnetic material with high magnetic permeability. Therefore, the switching loss of IGBTs included in the PWM inverter and the diode reverse recovery losses are the main components of power losses. Meanwhile, when the switching frequency and APF output currents are fixed and constant, the DC-link voltage and power losses of the APF are synchronously changed. This means that the power loss of the APF increases as the DC-link voltage increases and decreases as the DC-link voltage decreases.

In [20], a precondition for the normal operation of an APF was offered showing that the DC-link voltage value is greater than the peak value of the system side line voltage. It is assumed that the output currents and output voltages of an APF are analyzed under the condition that the three-phase balanced power grid and the inductive load current of the three-phase uncontrolled rectifier only contain $6n \pm 1$ positive and negative sequence harmonic components. The harmonic components of the APF output current are determined by the harmonic components of the PWM inverter output voltage and the grid voltage. According to the SVPWM modulation principle, the PWM inverter amplitude modulation ratio *M* is defined as equal to the ratio of the modulus length U_r of the output voltage composite vector to half of the DC-link voltage average value U_{dc} [24].

$$M = \frac{2U_r}{U_{dc}} \tag{5}$$

where,

$$U_{r} = \|U_{f1m}e^{j(\omega t + \varphi_{1})} + \bigcup_{k=6n-1} U_{fkm}e^{-j(k\omega t + \varphi_{k})} + \bigcup_{l=6n+1} U_{flm}e^{j(l\omega t + \varphi_{l})}\|$$
(6)

where, U_{flm} is the peak value of the fundamental wave component of the output phase voltage of the PWM inverter. U_{fkm} and U_{flm} are the peak values of the *k*th and *l*th harmonic components of the output phase voltage of the PWM inverter, respectively, and each φ represents the initial phase angle of each order component. Considering the full compensation of the nonlinear load in the worst case, the PWM inverter must output a maximum value exceeding the above voltage vector, $U_r \ge U_r|_{max}$. where the maximum value of U_r is $U_r|_{max}$.

$$U_{r}|_{\max} = U_{sm} + \omega LI_{f1m} + k\omega LI_{fkm} + l\omega LI_{flm}$$
(7)

Therefore,

$$\frac{MU_{dc}}{2} - U_{sm} \ge \omega LI_{f1m} + \frac{k\omega LI_{fkm}}{k = 6n - 1} \frac{1}{1 - 6n + 1} \log LI_{f1m}$$

$$\tag{8}$$

where, U_{sm} is the peak value of the grid phase voltage. I_{fkm} and I_{flm} are the peak values of the kth and Ith harmonic components of the APF output current, respectively. According to the linear working range of SVPWM space vector modulation [30], $U_r \leq \frac{U_{dc}}{\sqrt{3}}$, $M \leq \frac{2}{\sqrt{3}} = 1.1547$. Assuming that the amplitude modulation ratio M is always equal to the maximum value of 1.1547 when the APF is working, Equation (8) can be expressed as Equation (9).

$$U_{\Delta} \ge \omega L I_{f1m} + \underset{k=6n-1}{k\omega L I_{fkm}} + \underset{l=6n+1}{l\omega L I_{flm}} U_{\Delta} = \frac{U_{dc}}{\sqrt{3}} - U_{sm}$$
(9)

According to the above analysis, U_{Δ} determines the ability of an APF to output harmonic currents, and the required harmonic voltage component of the PWM inverter increases as the order of harmonics increases. Therefore, the compensation performance of an APF is related to the value of U_{Δ} .

In the SVPWM linear modulation range, if the modulation ratio *M* is constant, the compensation ability of an APF can be improved with the increase of DC-link voltage; the compensation ability of an APF can be reduced with the decrease of DC-link voltage. At industrial sites, the grid voltage generally has a fluctuation of 90%–110% over a long period of time [24]. Therefore, when the grid voltage is reduced, the compensation capability of the APF can be improved. When the grid voltage is increased, the compensation capability of the APF will be reduced. When the DC-link voltage of the APF is constant, the grid voltage controls the compensation capability of the APF.

2.2.3. Design of Droop Regulator

Considering the actual grid fluctuation, the power loss of an APF needs to be effectively reduced under the condition of ensuring the harmonic compensation ability of the APF. Based on Equation (9), a droop regulator can be designed to control the command value of the DC-link voltage to realize the comprehensive optimization of the power loss and the compensation performance of the APF. When the grid voltage is high, the DC-link voltage value is increased to ensure the compensation performance of the APF. When the grid voltage is low, the DC-link voltage value is reduced to decrease the power loss of the APF. Considering either a rise in grid voltage or a sudden increase in load, given a certain margin, the droop regulator can be expressed as Equation (10).

$$U_{\Delta n} = \frac{U_{dcn}}{\sqrt{3}} - \sqrt{2}U_{sn} = 93V$$

$$U_{dc\ ref} = \sqrt{3}(U_{\Delta n} + U_{sm})$$
(10)

where, U_{dcn} is the assumed value of the DC-link voltage when the APF can achieve the compensation effect, which is 700 V. U_{sn} is the effective value of rated grid phase voltage, which is 220 V. Considering that the grid voltage fluctuation range is $\pm 10\%$, the curve of the DC-link drop regulator can be drawn according to Equation (9), as shown in Figure 3.

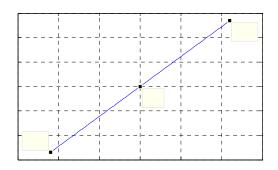


Figure 3. Reference value of DC-link voltage by droop regulator.

The DC-link voltage range at this moment is 646 V–754 V. The control strategy of the APF DC-link voltage command value using a droop regulator is shown in Figure 4. The grid voltage is transformed from a-b-c to d-q coordinates, and then passed through the droop controller to obtain the reference

voltage U_{dc}^* . After a comparison with the actual sample value U_{dc} , the active current adjustment signal Δi_{Sd} is output by the PI regulator. Then Δi_{Sd} is introduced into the inner current loop to maintain the stability of the DC-link voltage. Finally, the double closed-loop control of the APF is realized.

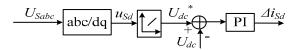


Figure 4. Voltage loop control diagram of APF with a droop controller in the d-q reference frame.

From Figure 4, the output of the voltage outer loop PI controller is shown in Equation (11).

$$\Delta i_{Sd} = K_{Vp} (U_{dc}^* - U_{dc}) + K_{Vi} \quad (U_{dc}^* - U_{dc}) dt$$
(11)

where, K_{Vp} and K_{Vi} are the proportional coefficient and the integral coefficient of the voltage outer loop PI regulator, respectively. U_{dc}^* is the command value of the DC-link voltage after passing through the droop regulator.

2.3. Current Inner Loop Control Principle and Design

According to the mathematical model of an APF in d-q coordinates, there is a serious coupling phenomenon between the d-axis and the q-axis. It is necessary to use the state feedback decoupling control based on the d-q rotating coordinate system [31]. The current states of the d-axis and the q-axis are introduced in d-q coordinates, and the state decoupling control between the d-, and q-axes is realized by the state feedback matrix. In addition, the interference input of the grid voltage u_{Sd} , u_{Sq} and the load currents i_{Ld} , i_{Lq} must also be considered and can be achieved by feedforward compensation. The disturbance compensation for the grid voltage can be directly offset by a unit feedback channel. Because the load current contains multiple harmonics, when ordinary PI controllers cannot completely suppress them, it is necessary to design a suitable load current feedforward transfer function. Finally, a full compensation of the load current disturbance error is realized through the load current disturbance feedforward compensation correction, the controller outputs are u_{rd} and u_{rq} , as shown in Equation (12).

$$u_{rd} = u_{Sd} + L\frac{dI_{Ld}}{dt} + Ri_{Ld} + \omega L(i_{Sq} - i_{Lq}) - v_{rd}$$

$$u_{ra} = u_{Sa} + L\frac{di_{Lq}}{dt} + Ri_{La} + \omega L(i_{Sd} - i_{Ld}) - v_{ra}$$
(12)

After collating,

$$\begin{aligned}
v_{rd} &= \mathsf{L} \frac{\mathrm{d}i_{Sd}}{\mathrm{d}t} + \mathsf{R}i_{Sd} \\
v_{rq} &= \mathsf{L} \frac{\mathrm{d}i_{Sq}}{\mathrm{d}t} + \mathsf{R}i_{Sq}
\end{aligned} \tag{13}$$

where v_{rd} and v_{rq} are the d- and q-axis components of the current loop controller, respectively, and the controlled object source current is decoupled and corrected to be a first-order model. Then, the source currents i_{Sd} and i_{Sq} can be separately controlled by controlling v_{rd} and v_{rq} . Generally, the current inner loop uses a PI controller. Because the DC-link voltage passed the voltage outer loop PI controller is the DC component. Then, the DC-link voltage plus the fundamental active component of the load current (DC component in d-q coordinates) is still the DC component. Therefore, the PI controller can be used for current inner loop control to realize tracking without static errors. Let K_{Ip} and K_{Ii} be the proportional coefficient and integral coefficient of the inner loop PI regulator, respectively. The current loop controller output is shown in Equation (14).

Based on the previous analysis, according to the simplified mathematical model of the decoupling of the APF system in d-q coordinates and the grid voltage and the load current feedforward control, and considering the influence of the converter and current sampling, etc., the control block diagram of the current inner loop in the d-axis can be obtained as shown in Figure 5.

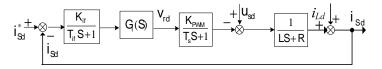


Figure 5. The current loop of the d-axis control diagram.

According to the typical type II system, the controller parameters K_{Ip} and K_{Ii} are designed based on the "oscillation index method" [32] to ensure that the performance index of the system does not exceed a certain value. That is, the maximum overshoot of the system will not be greater than a certain limit, the corresponding phase angle margin is the largest, the amplitude margin is the most suitable, and the corresponding adjustment time is the shortest. From Figure 5, we can obtain the closed-loop transfer function of the d-axis of the voltage inner loop which is a type II control system. Similarly, the PI parameters K_{Vp} and K_{Vi} of the voltage outer loop controller can be obtained.

3. Results

3.1. Simulation Results and Analysis

To verify the correctness of the proposed scheme, a model based on source current detection was built in Simulink for simulation analysis. The simulation parameters are as follows: the grid voltage Us = 220 V, the grid frequency f = 50 Hz, the connected reactor is 0.5 mH, the reactor internal resistance is 0.5 Ω , the DC-link rated voltage value $U_{dc} = 700$ V, the equivalent capacitance is 20 mF/900 V, the load is a three-phase uncontrolled rectifier with a resistive load (6 Ω), and the switching frequency is 9600 Hz. PI parameters $K_{ip} = 50$, $K_{ii} = 11$, $K_{vp} = 1.6$, $K_{vi} = 30$.

A comparative analysis of Figure 6a,b shows that the source current after the APF compensation is essentially a sine wave based on the source current control strategy. The total harmonic distortion (THD) of the source current decreases from 26.7% to 2.29% after the APF compensation.

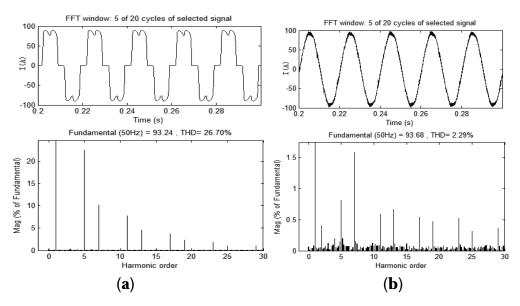


Figure 6. Simulation waveforms of APF with source current control method. (a) Load current and its distortion rate before APF application; (b) Source current and its distortion rate after APF application.

The verification of the dynamic response performance of the APF based on the source current control shows the dynamic process of the system when the harmonic load is suddenly added or lost. The load was suddenly applied at 0.1 s, and suddenly lost at 0.3 s. The corresponding waveforms are illustrated in Figure 7a–c. The APF can quickly track the change in the command current. Its dynamic response occurs within 15 ms, and full compensation is reached at approximately 30 ms.

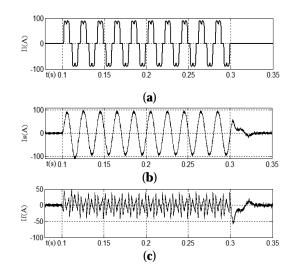


Figure 7. Dynamic performance of the APF system. (a) Load current I_{1} ; (b) Source current I_{s} ; (c) Harmonic current I_{f} .

The waveforms of the APF output DC-link voltage using the droop controller is shown in Figure 8a–c. At 0–0.4 s, the effective value of the grid phase voltage is 90% of the rated value, and the DC-link voltage is adjusted to 646 V. At 0.4–0.8 s, the APF works at the rated value of the grid voltage, and the DC-link voltage is regulated to the given value of 700 V. At 0.8–1.2 s, the grid voltage rises to 110% of the rated value, and the DC-link voltage follow the grid voltage fluctuation, and both the compensation performance and the power loss can be considered.

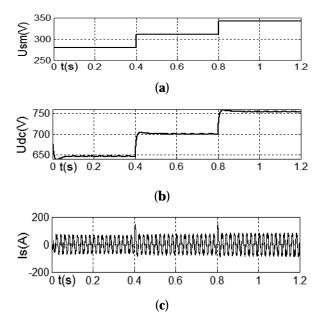


Figure 8. Waveforms with the droop controller. (a) Peak value of the grid phase voltage U_{sm} ; (b) DC-link voltage U_{dc} ; (c) Source current I_s after compensation.

Experiments were carried out in the developed APF system (66 kVA) to verify the correctness and feasibility of the above analysis. The double closed-loop PI control algorithm is implemented by a piece of microcontroller, the TMS320F28335 from TI. The type of IGBT is the FF300R12. The voltage and current ratings of the IGBT are 1200 V and 300 A, respectively. Table 1 shows the main parameters of the shunt APF system. The harmonic load is a three-phase uncontrolled rectifier with a resistive load (10.8 Ω), and the reactor internal resistance is 0.5 Ω .

Symbol	Value	Parameters		
V _S /V	220	AC power phase voltage effective value		
f _s /Hz	50	Grid frequency		
L _S /mH	0.5	APF output inductor		
C _{dc} /mF	20	APF DC-link capacitor		
U _{dc} /V	700	APF DC-link voltage		
S _C /kVA	66	APF capacity		
i _{cmax} /A	300	Inverter rated current		
f _c /kHz	9.6	Switching frequency		

Table 1. Main parameters of the APF system.

Figure 9 shows the experimental waveforms using a direct source current detection control scheme. Figure 9a shows the three-phase uncontrolled rectified load current waveform. Figure 9b shows the source current waveform after APF compensation. Figure 9c shows the harmonic current waveform.

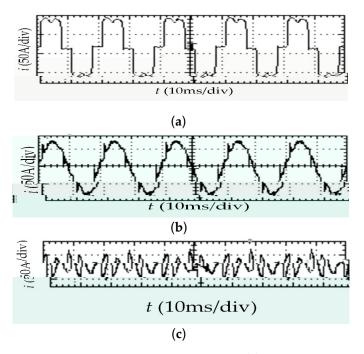


Figure 9. Waveforms of load, source and harmonic current. (a) Three-phase uncontrolled rectifier load current waveform; (b) Source current waveform after APF compensation; (c) Harmonic current waveform.

Figure 10 shows the spectrum of the grid side current before and after the APF application. Figure 10a shows the load current THD spectrum before the APF application. Figure 10b shows the system current THD spectrum after APF compensation.

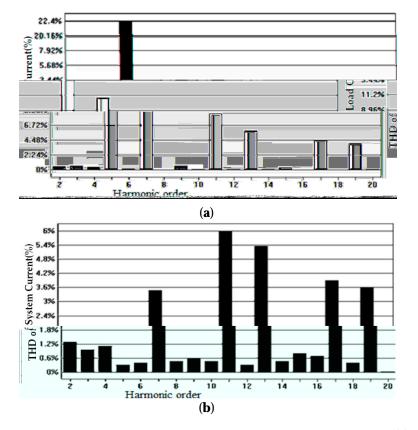


Figure 10. Magnitude spectrum of load current and source current waveforms. (a)Three-phase uncontrolled rectified load current waveform spectrum before the APF application; (b)Three-phase system current waveform spectrum after the APF application.

As seen in Figures 9 and 10, the system source current after APF compensation is close to an ideal sine wave, the THD of the system current harmonic distortion decreases from 28.5% to 15%, the THD of the 5th harmonic decreases from 22.4% to less than 0.6%, the THD of the 7th harmonic decreases from 11% to less than 3.6%, the THD of the 11th harmonic decreases from 8.9% to 6%, the THD of the 13th harmonic decreases from 5.8% to about 5.4%, and other higher harmonics also declined to varying degrees. However, due to the limitation of the bandwidth range of the PI controller, the system current waveform spikes at certain fixed points, which can be eliminated by improving the controller. In short, based on the source current control scheme, using the conventional PI controller can realize the non-static error suppression of the load current harmonics and achieve a good harmonic suppression effect.

The dynamic performance of the APF is verified based on the source circuit control and the observed response of the system by sudden addition and unloading experiments for harmonic loads. Figures 11 and 12 show that the response speed of the controller is fast, and the APF can respond quickly to sudden changes in instruction, which can significantly reduce the active power emitted or absorbed by the load change. However, half-cycle command distortion occurs due to the existence of a low-pass filter in the harmonic extraction section.

(a)

(b)

Figure 11. Load and source current waveforms during loading. (a) The load current waveform during load on; (b) The source current waveform during load on.

Figure 12. Load and harmonic current waveforms during load off.

The relationship between the compensation performance of the APF system and the DC-link voltages is then verified. Meanwhile, the relationship between the power losses of the APF system and the DC-link voltages is also verified. In the case of grid voltage fluctuation, the system performance before and after DC-link voltage control by the droop regulator is compared and analyzed. The room test temperature is 25 degrees Celsius, and the active power on the APF output side is measured by a power quality analyzer. The APF output current is the APF rated current, or the output current is not less than 90% of rated current. It should be noted that harmonic loads were running for at least half an hour before the application of the APF, and the test data were recorded after an hour of stable operation of the APF. The final data obtained from the test is the average value after multiple measurements. The type of current transducer is DHR-100-C10. The rated current of transducer I_{PN} is 100 A. Both the linearity error and accuracy of the transducer are less than 1% I_{PN} . Seven sets of experimental tests are conducted using different grid voltage and DC-link voltage Based on the experimental results, a performance analysis table for the APF system in different situations is listed. In Table 2, *Us* is the effective value of the grid phase voltage, I_I is the effective value of the source current, THD_I is the distortion rate of the source current, and P_{loss} is the active power loss of the APF system.

As shown in Table 2, when the grid voltage is constant, the DC-link voltage is increased, the harmonic current distortion rate is reduced, and the power loss of the APF system is increased. After using the droop controller, when the grid voltage rises, the APF compensation capability is improved by increasing the DC-link voltage. When the source voltage is reduced, the given value of the DC-link voltage is decreased so that the system loss is reduced.

U _s %	U _{dc} /(V)	I _I /(A)	THD _I (%)	P _{loss} /(KW)
90%	700	50.31	2.57	3.115
90%	650	50.27	2.80	3.003
100%	650	53.44	3.774	3.035
100%	700	53.46	3.555	3.437
100%	750	53.47	3.299	3.921
110%	700	56.51	3.644	3.629
110%	720	56.55	3.560	3.854

Table 2. Analysis of compensation performance and power losses on APF.

4. Conclusions

Based on a traditional control method used by shunt APFs, this paper proposes a control scheme based on the source current. The detection of harmonics and reactive currents is omitted, and the double closed-loop cascade control structure and the non-static error control law of the ordinary PI controller are retained, which improves the dynamic performance and control reliability of the system. In addition, the APF power loss and compensation performance increase with the increase of the DC-link voltage and decrease with the decrease of the DC-link voltage. When the actual grid voltage fluctuates, the droop regulator is used to control the DC-link voltage reference value, which can realize the comprehensive optimization of APF power loss and compensation performance.

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